

# Understanding Bias and Fairness in AI-enabled Healthcare Software

December 17, 2021  
11:00 a.m. - 4:00 p.m. EST

*If you are interested in using or referencing these slides, please contact the appropriate presenter.*

# Welcome & Overview

**Mark McClellan, MD, PhD**

Director, Duke-Margolis Center for Health Policy

# Statement of Independence

The Robert J. Margolis, MD, Center for Health Policy is part of Duke University, and as such it honors the tradition of academic independence on the part of its faculty and scholars. Neither Duke nor the Margolis Center take partisan positions, but the individual members are free to speak their minds and express their opinions regarding important issues.

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# Setting the Stage: Artificial Intelligence in Health Care

**Christina Silcox, PhD**

Digital Health Policy Fellow

Understanding Bias and Fairness in AI-enabled Healthcare Software

December 17, 2021

# AI in Health

**Figure 2:** Framework of all AI Use Cases in Healthcare

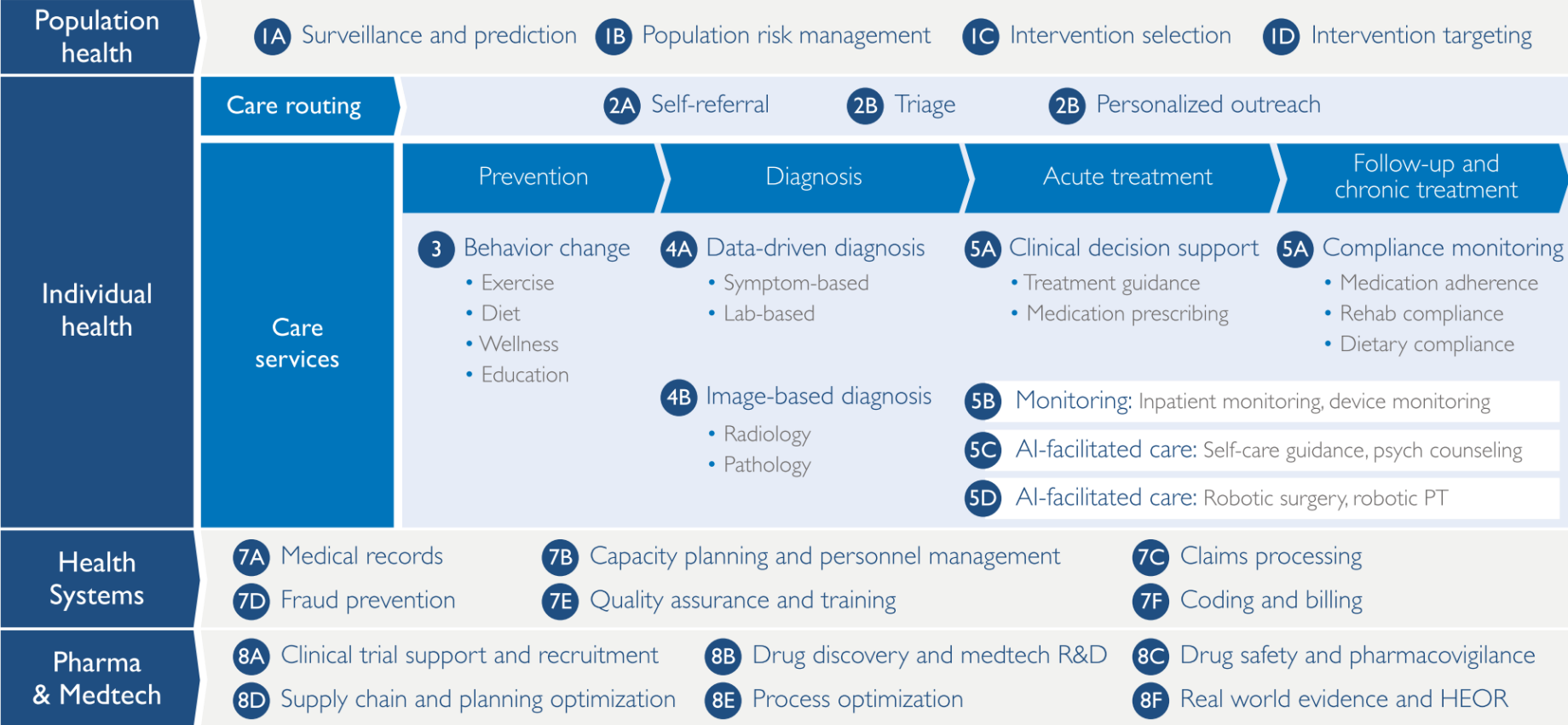
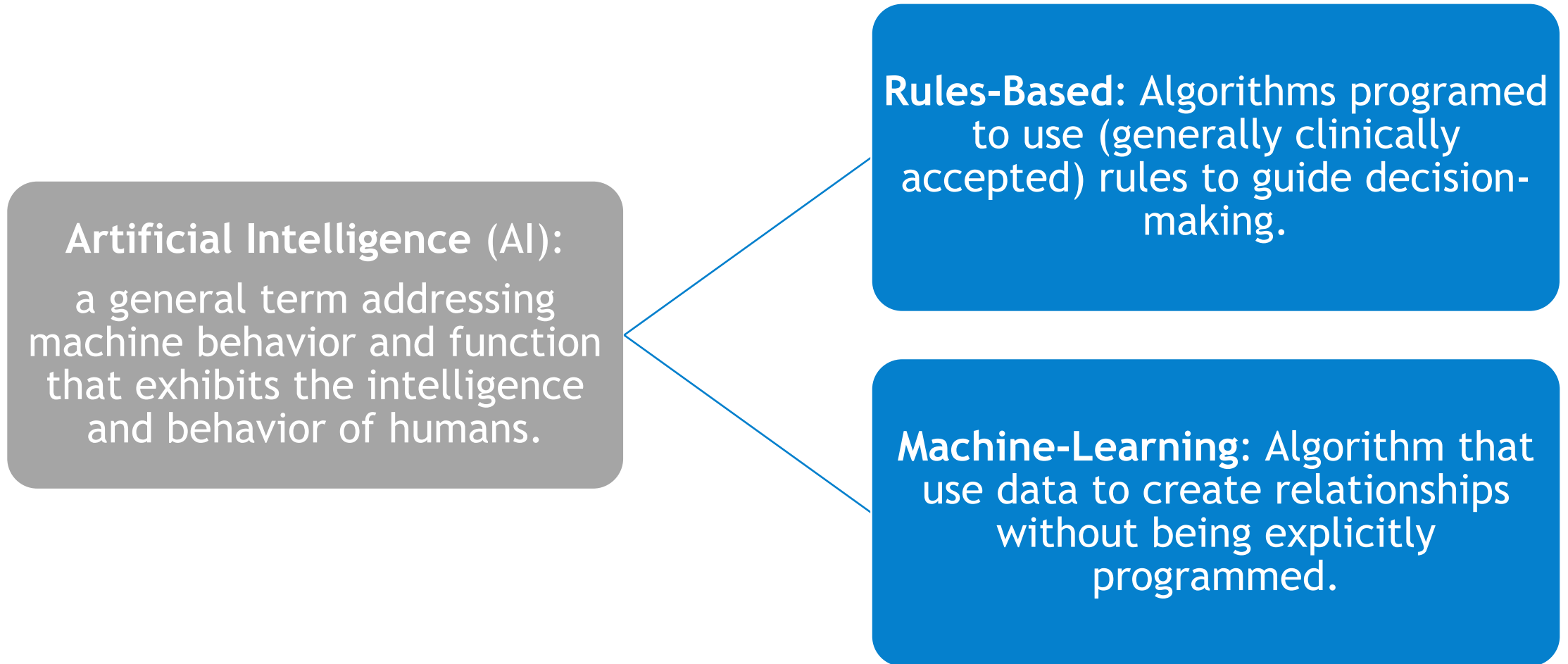


Figure from USAID’s “Artificial Intelligence in Global Health: Defining a Collective Path Forward” <https://www.usaid.gov/cii/ai-in-global-health>

# AI is a broad term



# Bias exists in both types of AI

**nature**

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[nature](#) > [news](#) > article

NEWS | 24 October 2019 | Update [26 October 2019](#)

## Millions of black people affected by racial bias in health-care algorithms

Study reveals rampant racism in decision-making software used by US hospitals – and highlights ways to correct it.

[Heidi Ledford](#)

**nature**

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[nature](#) > [news](#) > article

NEWS | 16 December 2020

## Is a racially-biased algorithm delaying health care for one million Black people?

Sweeping calculation suggests it could be – but how to fix the problem is unclear.

[Jyoti Madhusoodanan](#)

# What do we mean by bias?

- A **Biased Algorithm** is an algorithm that demonstrates significantly different performance in a subgroup of the population of interest:
  - Demographic (racial, ethnicity, age, sex, gender, etc.)
  - Socioeconomic (income, insurance status, etc.)
  - Geographic (rural vs urban)
  - Health system (community hospital, academic health center)
  - Comorbidities
- An algorithm could be biased along one dimension or a combination of dimensions

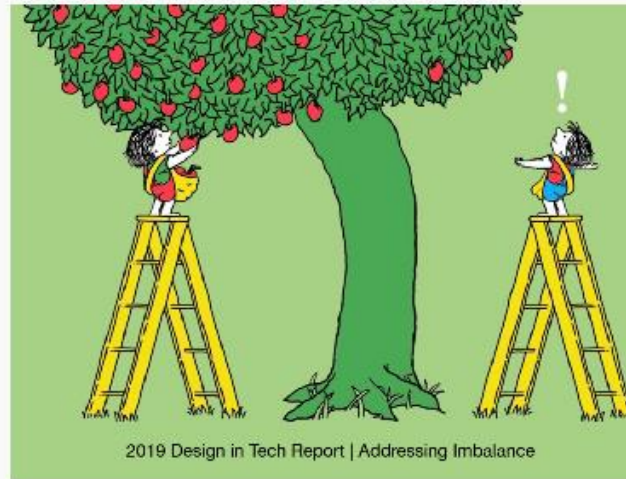
# Equality vs Equity

- **Equality** involves giving everyone the same resources and opportunities. Equality, however, does not ensure that everyone reaches the same outcomes because it does not account for systemic barriers that impact some groups over others.
- **Equity** addresses imbalanced social systems themselves, recognizing that individuals have different circumstances and need different resources and opportunities to reach equal outcomes.
- **Disparity** refers to difference, usually in the context of unfair differences.
- Sources: 1) Equity vs. Equality: What's the Difference? The Milken Institute School of Public Health, George Washington University; 2) Health Disparities and Health Equity: The Issue Is Justice (Braveman P, 2011)





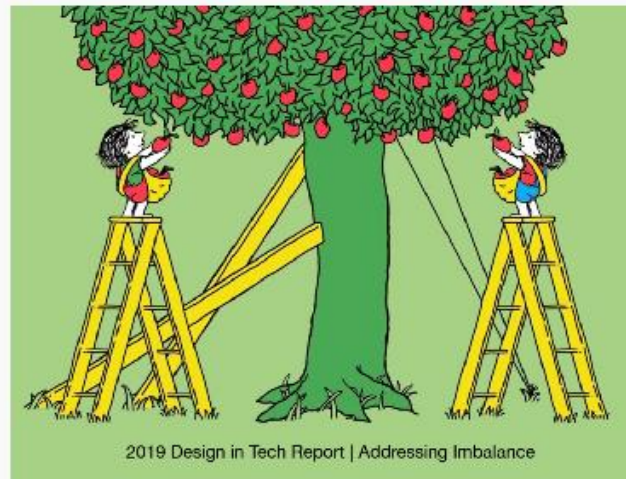
**INEQUALITY**



**EQUALITY**



**EQUITY**



**JUSTICE**

# Federal efforts to address bias and fairness in AI

## U.S. Food and Drug Administration (FDA)

- Good Machine Learning Practice for Medical Device Development: Guiding Principles (October 2021)
- Artificial Intelligence and Machine Learning (AI/ML) Software as a Medical Device Action Plan (January 2021)

## Federal Trade Commission (FTC)

- FTC Blog “*Aiming for truth, fairness, and equity in your company’s use of AI*” (April 2021)
  - Test for discriminatory outcomes, embrace transparency and independent evaluation, “do more good than harm”

## National Institute of Standards and Technology (NIST)

- A Proposal for Identifying and Managing Bias in Artificial Intelligence (June 2021)

## Office of the National Coordinator for Health Information Technology (ONC)

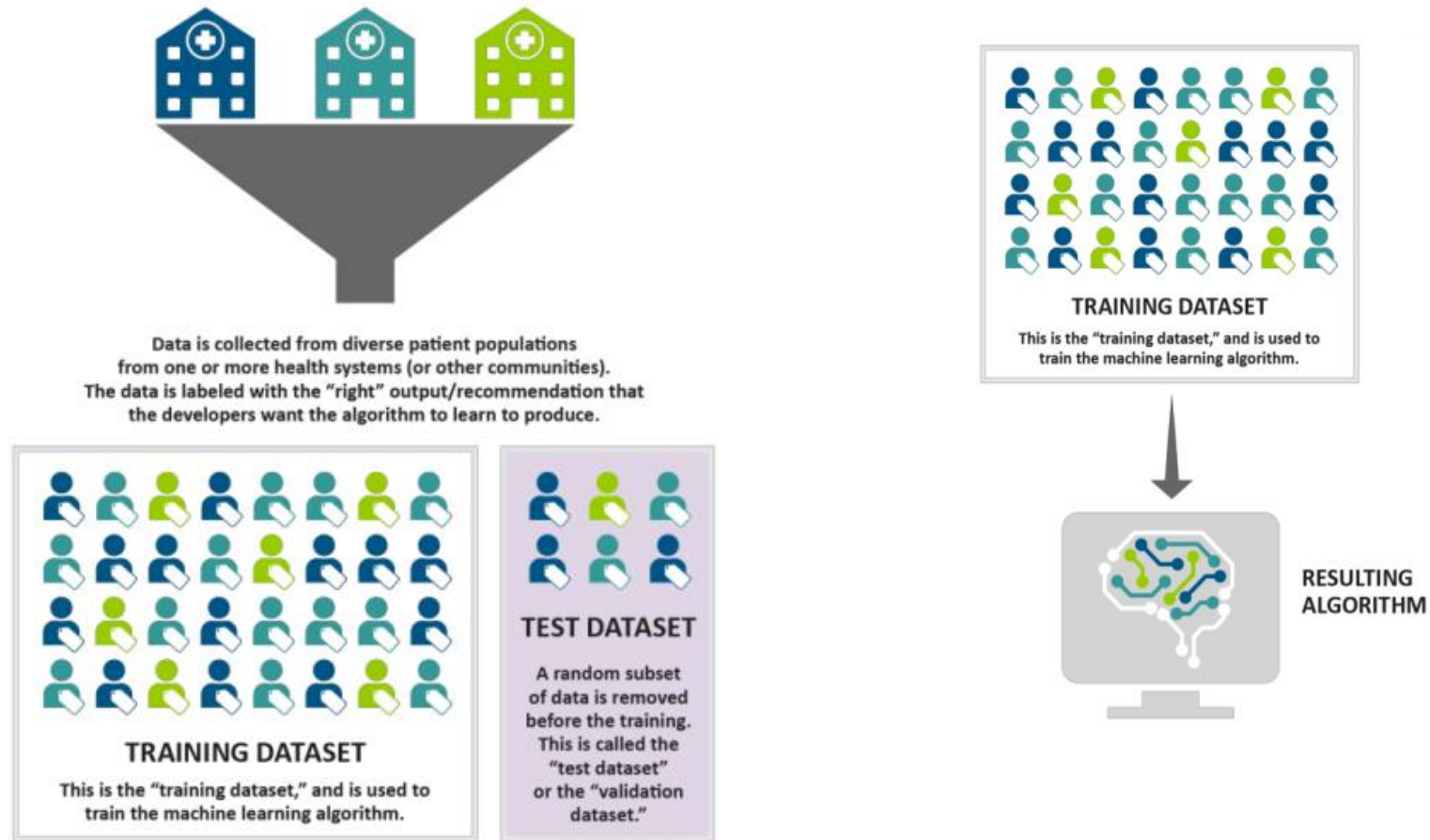
- ONC Artificial Intelligence Showcase - Seizing the Opportunities and Managing the Risks of Use of AI in Health IT (January 2022)

## Office of Science and Technology Policy (OSTP)

- OSTP Blog: “*Americans Need a Bill of Rights for an AI-Powered World*” (October 2021)



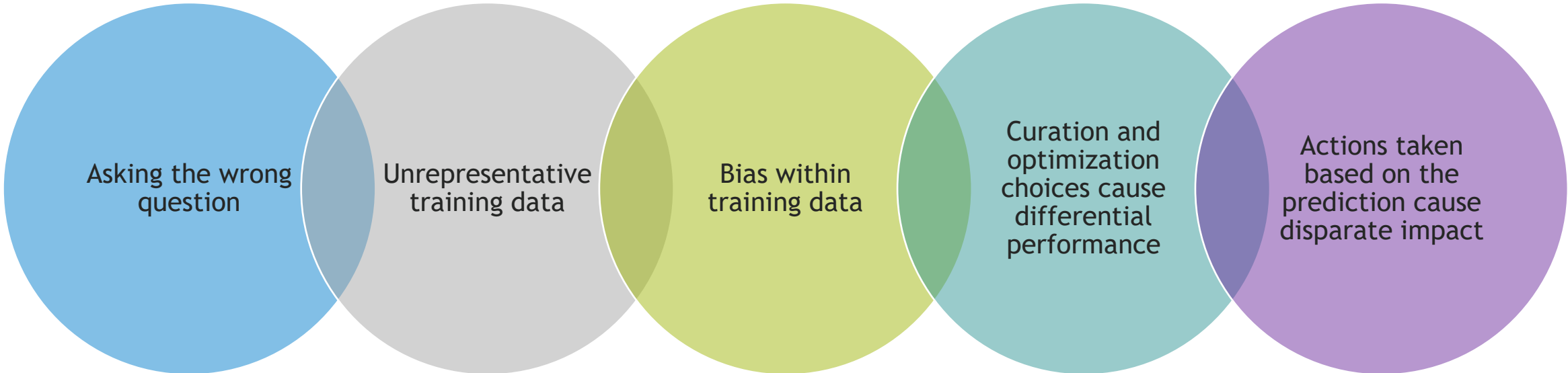
# How are machine learning algorithms made?



# What are the different types of data

- Training data is used to initially teach the algorithm; learning algorithms use the data to find relationships between the data and the label/annotation (supervised machine learning) in order to make predictions
- Testing data is the data used to test algorithmic performance after a system is trained
- Operational data is the data used to make a prediction once the algorithm is in use (e.g., a patient's EHR and sensor data used to determine a risk score for a patient)
- Clinical study/performance data are the results of evaluations of the algorithm

# How does AI become biased or unfair?



# Session 1: How Bias Arises in AI Healthcare Software

- **Chris Hemphill**, Actium Health
- **Kasia Chmielinski**, Harvard University
- **Ben Goldstein**, Duke University
- **Pilar Ossorio**, University of Wisconsin, Madison
- **Saman Parvaneh**, Edwards Lifesciences

Health Equity and AI Means  
Reframing Healthcare Strategy  
**Chris Hemphill**



# Hello!



My mission is to help people make healthy decisions based on evidence and science.

**Chris Hemphill**

**Actium Health**

Applied AI

Hello Healthcare Podcast Host



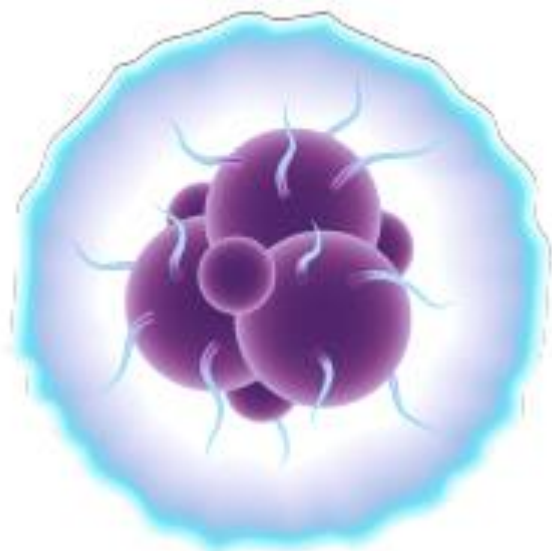
2010s

**Healthcare Data Enabled  
by the HITRUST Act**



US Hospital System

# Healthcare is adopting more AI use cases



Detects breast cancer from images at  $> .9$  AUC<sup>1</sup>

Disease Detection



87% Improvement on top quality measures<sup>2</sup>

Population Health



25% volume growth for a specific service line

Outreach



Healthcare is adopting more AI use cases

# Aggregated

Detects breast cancer  
from images at  $> .9$  AUC<sup>1</sup>

Disease Detection

87% Improvement on top  
quality measures<sup>2</sup>

Population Health

25% volume growth for a  
specific service line

Outreach

# Aggregated vs Nuanced

Aggregated



Nuanced



Sometimes the whole hides  
damning details



# Aggregated

11% Growth in Volume

.90 AUC

87% Improvement in  
Quality Measures

vs



# Nuanced

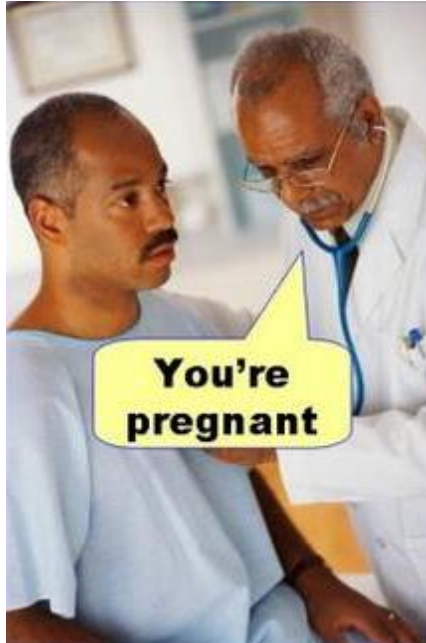
Lack of access for Medicaid  
and uninsured patients

Model misses more Black  
and Asian patients

Algorithms reflecting  
sex or racial bias

Why do we ignore the nuance?

# Why We Ignore Nuance



Type I Error  
(False Positive)

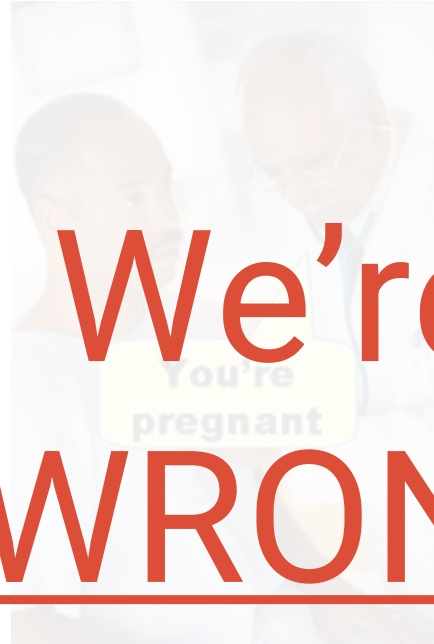


Type II Error  
(False Negative)

The RIGHT  
answer to  
the  
WRONG  
question  
Type III Error

# Why We Ignore Nuance

We're asking the WRONG questions



Type I Error  
(False Positive)

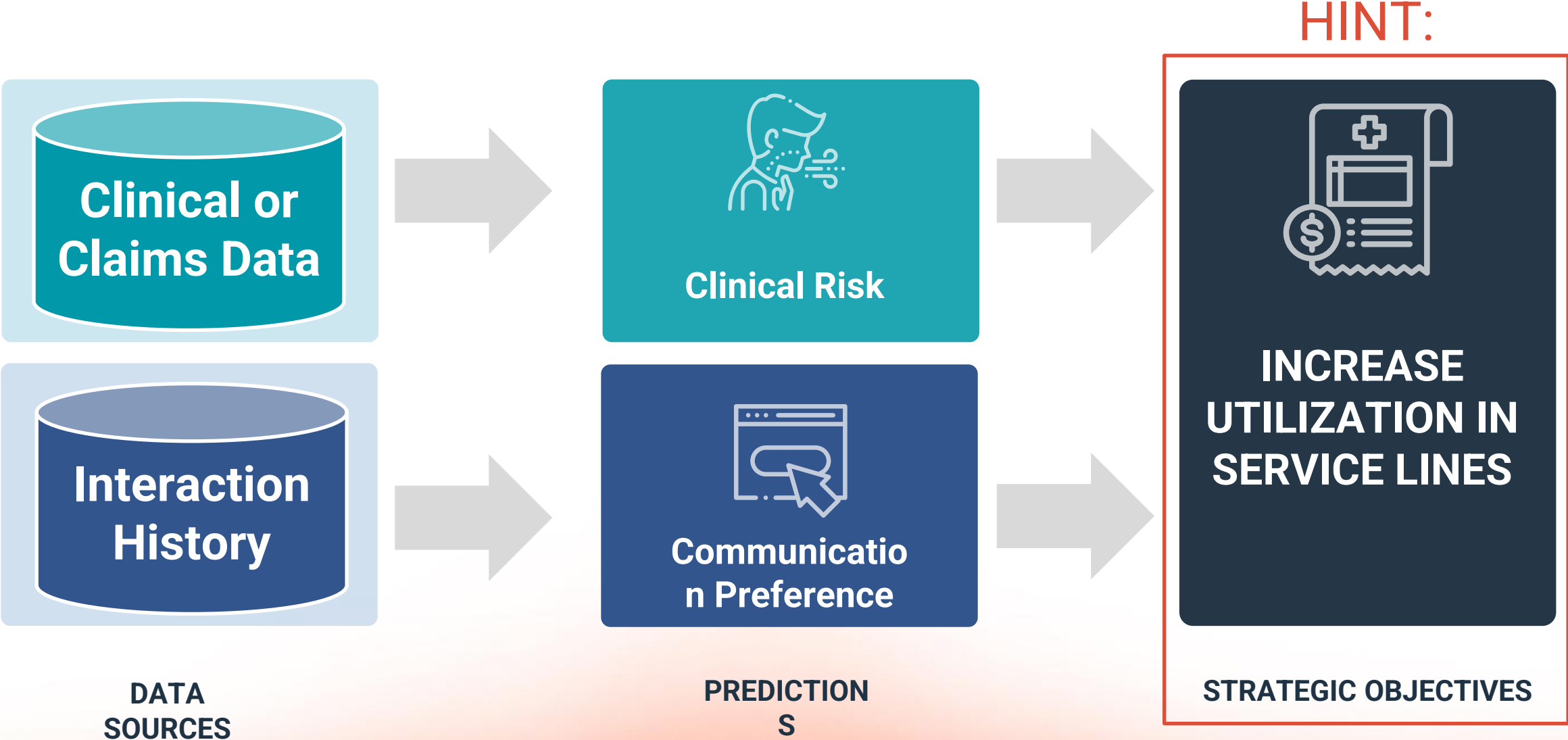


Type II Error  
(False Negative)

The RIGHT  
answer to  
the  
WRONG  
question  
Type III Error



# How Do We Rethink Our Framing? Working Backwards with AI



# How Do We Rethink Our Framing? Working Backwards with AI



HINT:

**INCREASE UTILIZATION IN SERVICE LINES**

**STRATEGIC OBJECTIVES**



Strategic objectives should reflect health equity.

# Rethinking Strategic Objectives



## Aggregated

Grow service line  
volume

High test accuracy for  
AI models

Improve quality  
measure attainment

vs



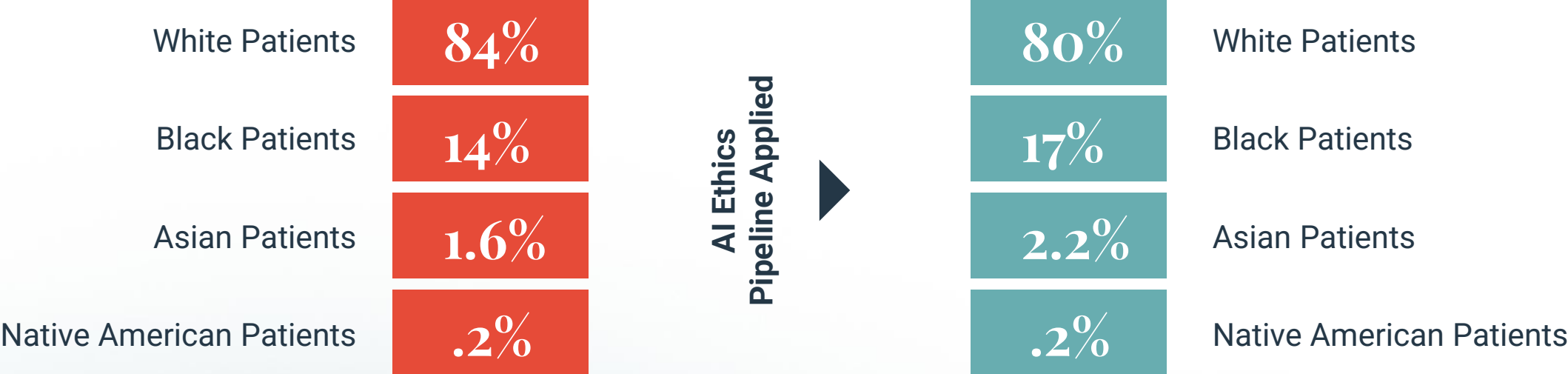
## Nuanced

Expand access to care for  
all our communities

Models that perform well  
for all our populations

Equitable experiences  
& listening across  
subpopulations

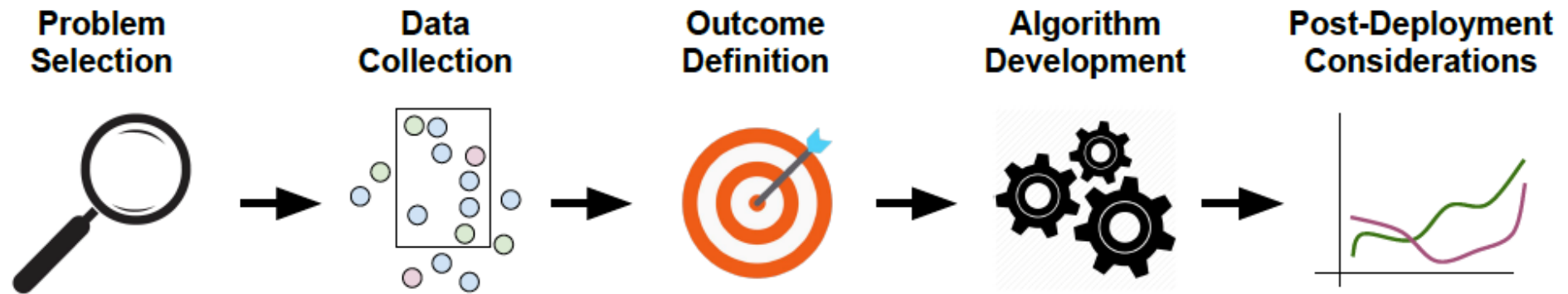
# Case Study: Virtua Health's Strategic Diversity Initiatives



Cardiology Model Example

**23%** Increase to Underserved Groups

# Strategy Touches Every Part of the Data Science Workflow



If our strategies align with health equity,  
then our models should follow.



# Bias in, Bias out: Datasets and AI

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Kasia Chmielinski  
The Data Nutrition Project  
December 2021





# Kasia Chmielinski

PRONOUNS: THEY / THEM

## Currently:

Affiliate, Berkman Klein Center at Harvard  
Co-Founder, Data Nutrition Project  
Sr. Researcher, Partnership on AI

## Previously:

McKinsey & Company, U.S. Digital Service,  
MIT Media Lab, ZestAI, Google

# The Problem

Artificial intelligence (AI) systems built on **incomplete or biased data** will often exhibit problematic outcomes.

## How bias can creep into medical databanks that drive precision health and clinical AI

*Findings have already prompted improvements in how the University of Michigan recruits new participants for its biobank.*



## Suicide Risk Prediction Models Could Perpetuate Racial Disparities

Two suicide risk prediction models are less accurate for some minority groups, which could exacerbate ethnic and racial disparities.



## From oximeters to AI, where bias in medical devices may lurk

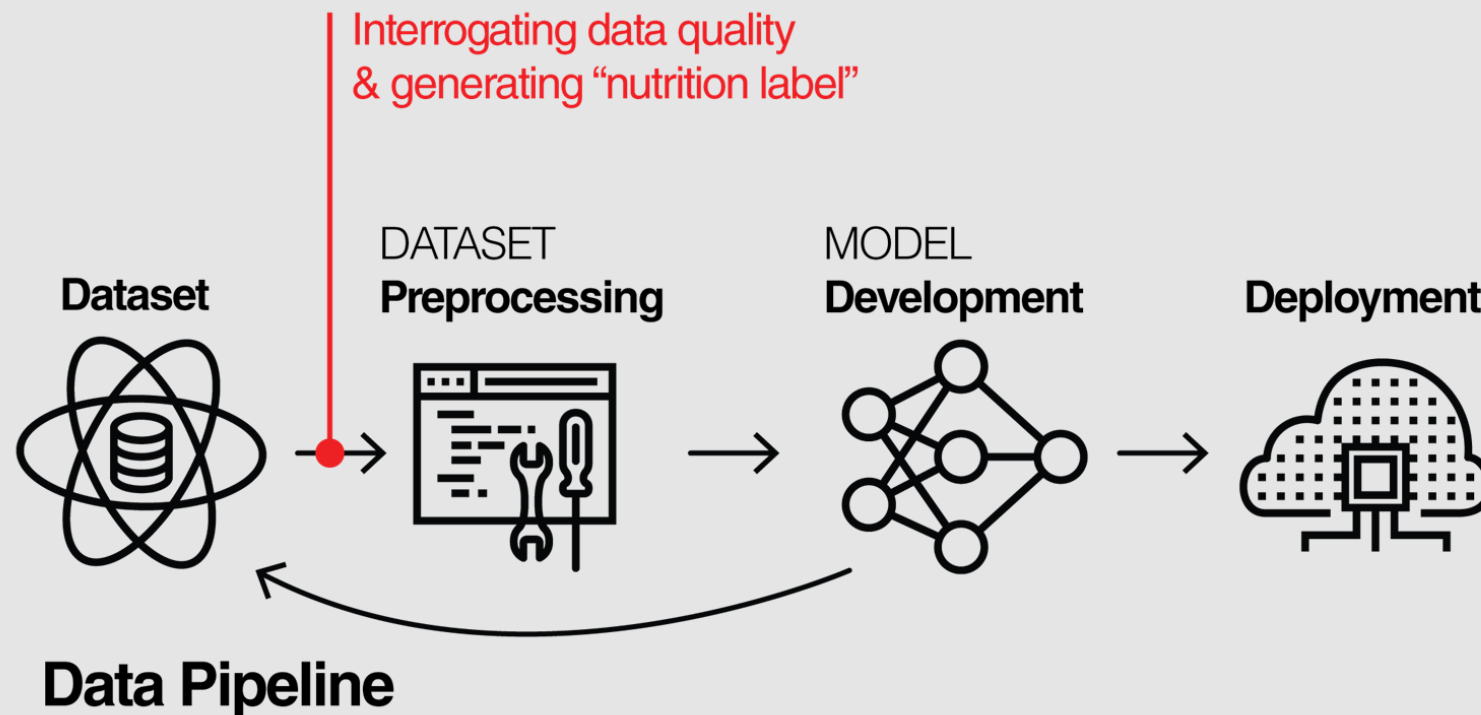
**Analysis: issues with some gadgets could contribute to poorer outcomes for women and people of colour**





# Model Development

There is an opportunity to **interrogate data quality for bias** before building the model



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# An Example From Healthcare AI

# AI for Melanoma: a Strong Case

## AI Breakthrough in Melanoma Detection

October 18, 2021  
Linda Stocum, Assistant Editor

Industry Insight

## Using Artificial Intelligence To Detect Melanoma

Published: October 28, 2021

[Kate Robinson](#)

HEALTH, WELLNESS & BIOTECH

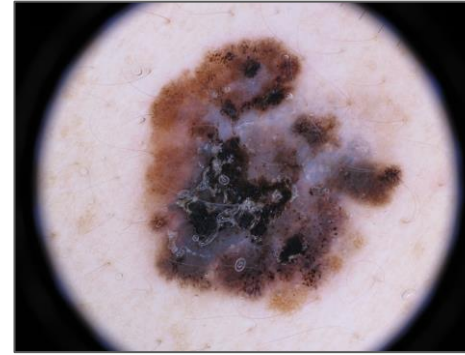
## Dermatology Startup PathologyWatch Raises \$25M for Skincare AI Research, Expansion

Janice Bitters Turi | November 16, 2021



**Veronica Rotemberg MD, PhD**  
Memorial Sloan Kettering

*Ongoing work - not yet published*



Melanoma

Dermatologists:  
**71.9% Sensitivity**

Top AI (since 2019):  
**79.3% Sensitivity**



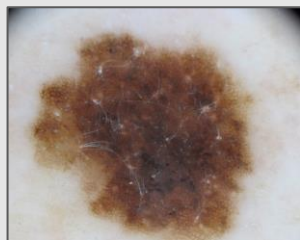
Benign Nevus

Dermatologists:  
**92.8% Specificity**

Top AI (since 2019):  
**96.2% Specificity**

# However ... AI specificity drops in training data gaps

## Sampling Gap: Unknown Image Features



Benign Nevus  
No Crust

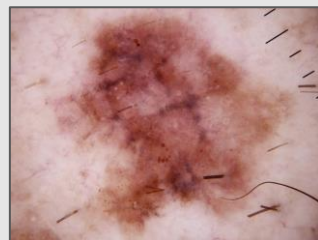
Top AI Algorithms:  
79.1% of the time



Benign Nevus  
Contains Crust

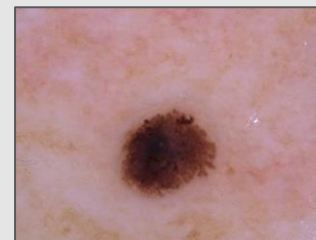
Top AI Algorithms:  
39.1% of the time

## Pre-processing Gap: Unknown Image Sources



Melanoma  
Clinic A

Top AI Algorithms:  
90% of the time



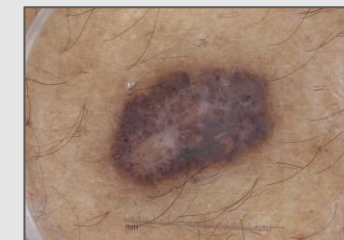
Melanoma  
Clinic B

Top AI Algorithms:  
1.4% of the time

## Representation Gap: Underrepresented populations, unusual anatomic sites



Melanoma  
Darker Skin Tone



Melanoma  
Darker Skin Tone

... WE DON'T KNOW.

# This problem isn't isolated

JAMA Dermatology | Review

## Lack of Transparency and Potential Bias in Artificial Intelligence Data Sets and Algorithms A Scoping Review

Roxana Daneshjou, MD, PhD; Mary P. Smith, MD; Mary D. Sun, MSCR; Veronica Rotemberg, MD, PhD;  
James Zou, PhD

“ **RESULTS** A total of 70 unique studies were included. Among these studies, 1 065 291 images were used to develop or test AI algorithms, of which only 257 372 (24.2%) were publicly available. **Only 14 studies (20.0%) included descriptions of patient ethnicity or race in at least 1 data set used. Only 7 studies (10.0%) included any information about skin tone in at least 1 data set used.** Thirty-six of the 56 studies developing new AI algorithms for cutaneous malignant neoplasms (64.3%) met the gold standard criteria for disease labeling. Public data sets were cited more often than private data sets, suggesting that public data sets contribute more to new development and benchmarks.

”

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# Data Documentation for Transparency: **Dataset Nutrition Labels**

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# DNP's Mission

We empower data scientists and policymakers with practical tools to **improve AI** outcomes through **products** and **partnerships**, and in an **inclusive** and **equitable** way



**Jess Yurkofsky**  
*Design*



**Chris Kranzinger**  
*Data Science*



**Kasia Chmielinski**  
*Project Lead*



**Sarah Newman**  
*Research Lead*



**Kemi Thomas**  
*Developer*



**Serena Oduro**  
*Policy*



**Matt Taylor**  
*Tech Lead*



# The Importance of Transparency & Choice

People and practitioners can make informed decisions when they know what's inside

Nutrition Facts	
Serving Size 1 Cup (240mL)	
Serving Per Container 8	
Amount Per Serving	
<b>Calories</b> 60	Calories from Fat 15
% Daily Value*	
<b>Total Fat</b> 2g	3%
Saturated Fat 0g	0%
Trans Fat 0g	
Polyunsaturated Fat 1g	
Monounsaturated Fat 0.5g	
<b>Cholesterol</b> 0mg	0%
<b>Sodium</b> 115mg	
<b>Potassium</b> 340mg	
<b>Total Carbohydrate</b> 5g	
Dietary Fiber 1g	
Sugars 3g	
<b>Protein</b> 6g	12%
Vitamin A 10%	• Vitamin C 0%
Calcium 45%	• Iron 6%
Vitamin D 30%	• Riboflavin 30%
Folate 10%	• Vitamin B12 50%

*SHOULD I EAT THIS?*



“

*From reviewing 60 intervention studies, food labeling reduces consumer dietary intake of selected nutrients and influences industry practices to reduce product contents of sodium and artificial trans fat.*

”

- [American Journal of Preventive Medicine](#)

---

# We focus on understanding and using the dataset

## *Potential harms or biases ....*

- **Feature Selection:** Proxy characteristics, Data Definitions
- **Representation:** Sampling strategy, Curation and Collection
- **Manipulation & Imputed Values:** Preprocessing, Cleaning, Labeling, Access to Raw Data,
- **Completeness:** Missing information
- **Privacy:** Procedures and Protocols
- **Known Errors:** Are there any other errors, sources of noise, or redundancies in the dataset?

## *... mapped to use cases*

- **Intended** uses
- Current or **known** uses
- **Limited** or cautioned uses
- **Not suitable for**

# Nutritional Label for Datasets (2020)

<https://datanutrition.org/labels/>



Dataset Nutrition Label

## 2020 SIIM-ISIC Melanoma Classification Challenge Dataset

### About

The 2020 SIIM-ISIC Melanoma Classification challenge dataset was created for the purpose of conducting a machine learning competition to identify melanoma in lesion images. As the leading healthcare organization for informatics in medical imaging, the Society for Imaging Informatics in Medicine (SIIM)'s mission is to advance medical imaging informatics through education, research, and innovation in a multi-disciplinary community. SIIM is joined by the International Skin Imaging Collaboration (ISIC), an international effort to improve melanoma diagnosis. The ISIC Archive contains the largest publicly available collection of quality-controlled dermoscopic images of skin lesions.

**Data Creation Range:** 1998 - 2019

**Created By:** International Skin Imaging Collaboration (ISIC)

**Content:** The 2020 SIIM-ISIC Melanoma Classification challenge dataset was created for the purpose of conducting a machine learning competition to identify melanoma in lesion images. As the leading healthcare organization for informatics in medical imaging, the Society for Imaging Informatics in Medicine (SIIM)'s mission is to advance medical imaging informatics through education, research, and innovation in a multi-disciplinary community. SIIM is joined by the International Skin Imaging Collaboration (ISIC), an international effort to improve melanoma diagnosis. The ISIC Archive contains the largest publicly available collection of quality-controlled dermoscopic images of skin lesions.

**Source:** <https://challenge2020.isic-archive.com/>

<b>Alert Count</b>	<b>5*</b>
<b>Completeness</b>	<b>4</b>
Racial Bias	2
Socioeconomic Bias	1
Gender Bias	1
<b>Provenance</b>	<b>0</b>
<b>Collection</b>	<b>0</b>
<b>Description</b>	<b>0</b>
<b>Composition</b>	<b>1</b>
Racial Bias	1

\* Please refer to the Objectives and Alerts section for more details

### Use Cases

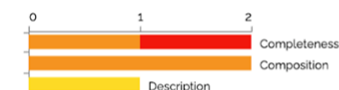
Potential real-world applications of the dataset

- 1 Identify melanoma in lesion images
- 2 Predict incidence of melanoma in a population

### Badges



#### Alert Count by Category



#### Alert Count by Mitigation Potential



#### Alert Count by Potential Harm



# Nutritional Label for Datasets (2020)

<https://datanutrition.org/labels/>

The tool is dynamic and built for data practitioners and those who are selecting datasets for advanced stats / AI purposes

Alerts FYIs

**MITIGATION POSSIBLE:** ||| 2 No || 2 Maybe | 1 Yes

---

**FILTER:** All ▾

||| Dataset is not representative with respect to darker skin types >

||| Dataset is a convenience sample and is not representative of general incidence of melanoma >

| Usage Restrictions >


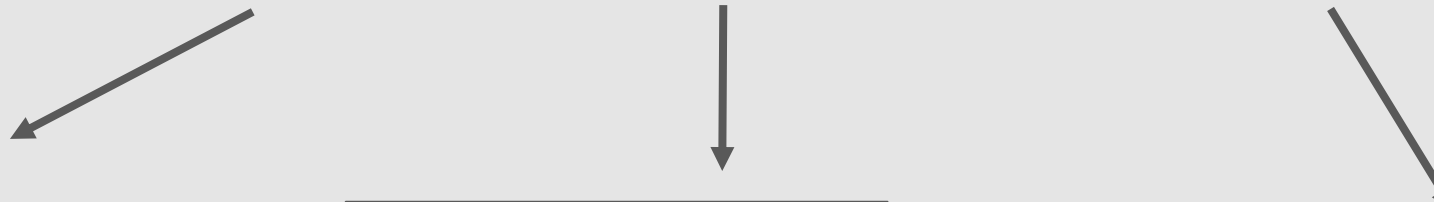
|| **Inconsistent lighting in images may alter skin type** ▾

Mitigation Possible: *Maybe*  
Category: *Composition*  
Potential for Harm: *Racial Bias*

---

Because lighting is inconsistent in the images, strong caution against manually adding labels to dataset to capture skin type

# Impact of the approach, methodology, and standard



## RAI Certification Beta

**The world's first independent, accredited certification program of its kind.**  
Developed under the Global AI Action Alliance for the World Economic Forum (WEF), along with a diverse community of leading experts, RAI certification is based on objective assessments of fairness, bias, explainability, and other concrete metrics of responsibly built AI systems. The Schwartz Reisman Institute for Technology and Society (SRI) at University of Toronto is serving as a business partner on the development phase of the initiative. =

## NeurIPS | 2021

Thirty-fifth Conference on Neural Information Processing Systems

- Submission introducing new datasets must include the following in the supplementary materials:
  - Dataset documentation and intended uses. Recommended documentation frameworks include [datasheets for datasets](#), [dataset nutrition labels](#), [data statements for NLP](#), and [accountability frameworks](#).
  - URL to website/platform where the dataset/benchmark can be viewed and downloaded by the reviewer.
  - Author contact information.

### JAMA Dermatology | Consensus Statement

**Checklist for Evaluation of Image-Based Artificial Intelligence Reports in Dermatology**  
**CLEAR Derm Consensus Guidelines From the International Skin Imaging Collaboration Artificial Intelligence Working Group**

Roxana Daneshjou, MD, PhD; Catarina Barata, PhD; Brigid Betz-Stablein, PhD; M. Emre Celebi, PhD; Noel Codella, PhD; Marc Combalia, MSc; Pascale Guitera, MD, PhD; David Gutman, MD, PhD; Allan Halpern, MD; Brian Helba, BS; Harald Kittler, MD; Kivanc Kose, PhD; Konstantinos Liopyris, MD, PhD; Josep Malvehy, MD; Han Seung Seog, MD, PhD; H. Peter Soyer, MD; Eric R. Tlaczky, MD, PhD; Philipp Tschandl, MD, PhD; Veronica Rotemberg, MD, PhD

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# The Vision

Improving data quality through standards will:

1. Drive **robust data analysis practices** by making it easier and faster for data scientists to interrogate and select datasets.
2. Increase **overall quality of models** by driving the use of better and more appropriate datasets for those models
3. Enable the **creation and publishing of responsible datasets** by those who collect, clean and publish data

# Thank You!

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**Kasia Chmielinski**  
*[kc@datanutrition.org](mailto:kc@datanutrition.org)*



# There is more than meets the eye: How the incompleteness of real world data can produce biased algorithms

Benjamin A. Goldstein, PhD, MPH

[ben.goldstein@duke.edu](mailto:ben.goldstein@duke.edu)

Associate Professor of Biostatistics and Bioinformatics

Duke University

# Disclosures/Acknowledgements

- I currently receive funding from NIH, FDA & CDC. This work does not reflect those agencies.
- Much of this is based on dissertation work by Mengying Yan

# The Current State for Clinical Decision Support (CDS) Tools

- Most CDS tools are based on health system derived electronic health records (EHR) data
- Developers use machine learning algorithms to aggregate lots of patient clinical factors into a single risk score
- The CDS is used to supplement the providers (doctor, nurse, care manager) clinical decision gestalt for the best course of action
- While we can often identify which factors are important in the risk score, we usually can't specify which specific factors drive a specific individual's risk

# What is the Electronic Health Record

The screenshot displays the Epic EHR interface for patient 'Slim, Buzz-Lightyear'. The top navigation bar includes various tools like 'Launch Dragon', 'Patient Station', and 'Sign My Visits'. The main content area is divided into several sections:

- Patient Information:** Slim, Buzz-Lightyear, Male, 64 y.o., 3/23/1957, MRN: D1465987, Bed: DRH CC-CC02-1. Code: Prior. Advance Care Planning: None.
- COVID-19 Status:** Has Labs. Isolation: Special Airb. Low Suicide Risk. D/C Isolation?
- Physician:** Lovins, Jonathan Samuel, MD, Attending. First Call: Lovins, Jonathan S.
- ALLERGIES:** No Known Allergies.
- ADMIT TO ICU:** 11/13/2019 (513D 20H). Patient Class: Inpatient. Expected Discharge: 400 d ago. Chronic obstructive pulmonary disease with acute lower respiratory infection (CMS-HCC).
- Physical Exam:** Height: 188 cm (6' 2"), Last Wt: —, BMI: —, Dosing Weight: 90.7 kg.
- RESULTS:** NO NEW RESULTS, LAST 36H.
- ACTIVE MEDS:** (1) Scheduled (1).
- CrCl:** No successful lab value found. Social Drivers: Not on file.

The **Orders** section is active, showing a list of orders under the 'Scheduled' tab:

Order	Details	Actions
levothyroxine (SYNTHROID) injection 100 mcg	100 mcg, Intravenous, Daily, First dose on Tue 2/23/21 at 1115 *Protect From Light* Review every 30 days	Review Modify Hold Discontinue
Special airborne/contact isolation status	Indication for Special Airborne/Contact: Rule Out COVID-19 Comments: For questions, contact infection prevention nurse on call Safe Tray/Plastic Utensils: Yes	Modify Discontinue
Comprehensive Metabolic Panel (CMP)	Routine, New collection, Early AM, Sat 12/12/20 at 0445, For 1 occurrence	Modify Discontinue
Comprehensive Metabolic Panel (CMP)	Routine, New collection, Early AM, On Tue 2/23/21 at 0445, For 1 occurrence	Modify Discontinue

On the right side, the 'Manage Orders' panel shows a search bar and a large 'No Orders' message with a clipboard icon. At the bottom right, there are buttons for 'Remove All', 'Save Work', and 'Sign'.

# Why we want to use EHR Data for Clinical Prediction Models

- Data are readily available
- Information on over millions of patients with information collected over a variety of domains
- Able to study many different clinical outcomes
- A representative population reflective of on whom and how care is delivered and received

# Why we may not want to use EHR Data for clinical research?

Data are not organized for research purpose

- Data exist in disparate places
- All patients have different pieces of information
- Data are representative of the way care is delivered received



# Is representative data a good thing?

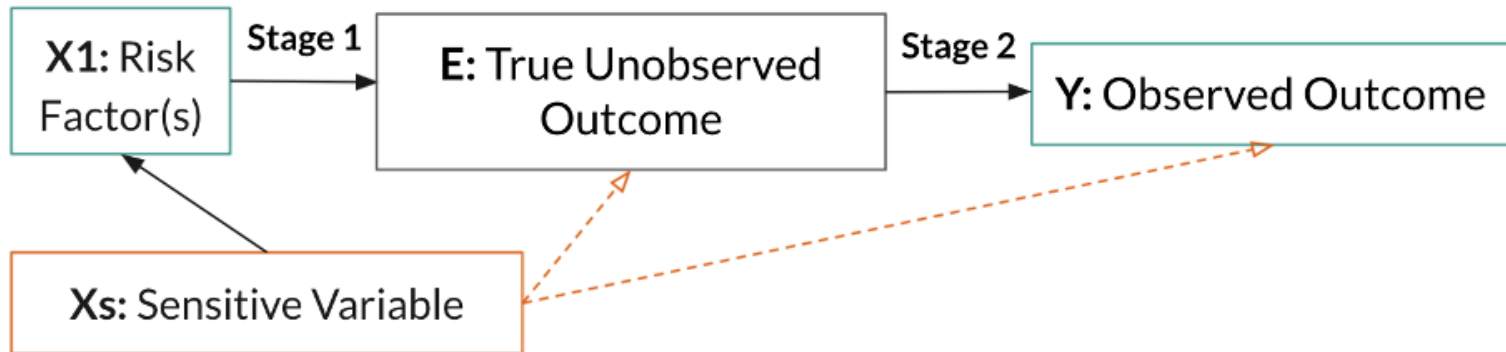
## There are systematic differences in the way health care is delivered

- *Differences in health system utilization*
  - Racial and ethnic differences in the usage of emergency departments
  - Racial and ethnic differences in types of hospitals patients transferred to
- *Differences in how care is received and documented*
  - *Females are less likely to be diagnosed with myocardial infarction*
  - *Whites receive more expensive care than African Americans*
- *Differences in performance of tools*
  - *Pulse oximetry performs worse in African Americans*
  - *Mammograms may perform worse in Asian women*

# The Genesis of Algorithmic Bias via *Differential Observability*

- If the *observability* of our outcome of interest is incomplete our algorithms will be *biased* (i.e. not learn the true risk)
- If the *observability* of the outcome is differential on some factor (e.g. race) our algorithms will be differentially biased
  - i.e. our algorithms will be more accurate in one group versus another
- We only want to include variable in our model that impact the true outcome *not* the observability of the outcome

# There is a difference between someone's true health state and what we see in the EHR



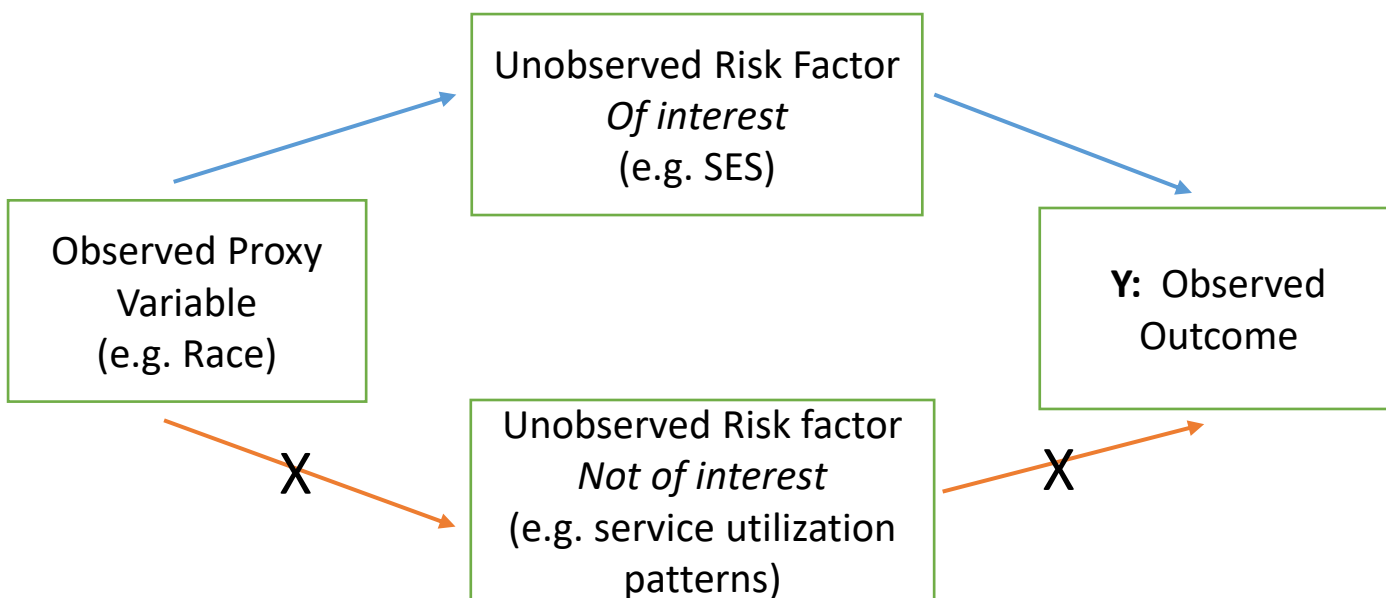
## The problem:

- We only want to include variables the *truly effect the outcome*
- Since this is based on unobserved factors, we can't test for this
- Instead we have to *assume* that our data don't have differential observability concerns

# The Genesis of Algorithmic Bias via *Proxy Variables*

- Sometimes what we see isn't quite what we want
- There are many things that the EHR is not good at capturing
  - Social determinants of health (SDOH)
  - Aggregate health status
- Instead we often use *proxy* variables
  - Race or insurance status as a proxy for SES
  - Health care utilization or cost as a proxy for health status
- These proxy variables are by definition imperfect and can generate biased algorithms on the individual level

# The Danger of Proxy Variables in Algorithms



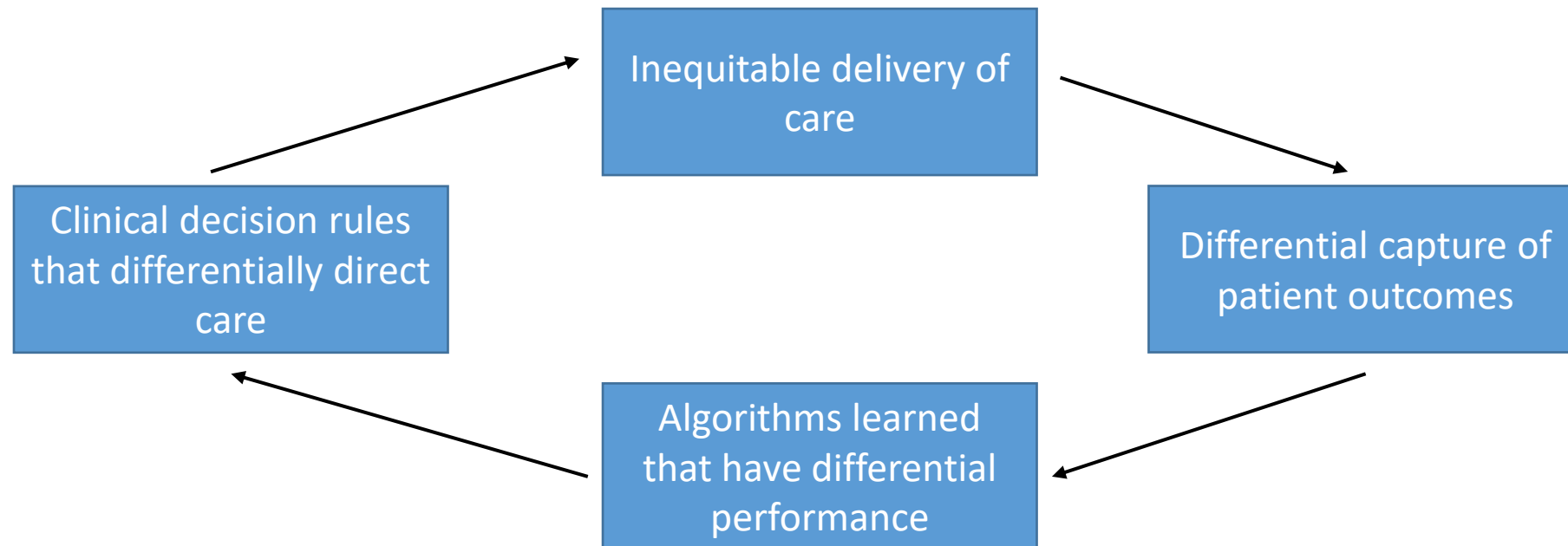
## The problem

- The quality of the proxy is determined by the strength of the relationship with the true (unobserved) risk factor of interest
- However the proxy variable may also be correlated with factors that we don't want to include in our model



# The Problem Perpetuates

- Clinical decision support tools are a means to an end: the provision of additional care and services
- Differentially biased algorithms lead to biased allocation of health care (*algorithmic unfairness*)

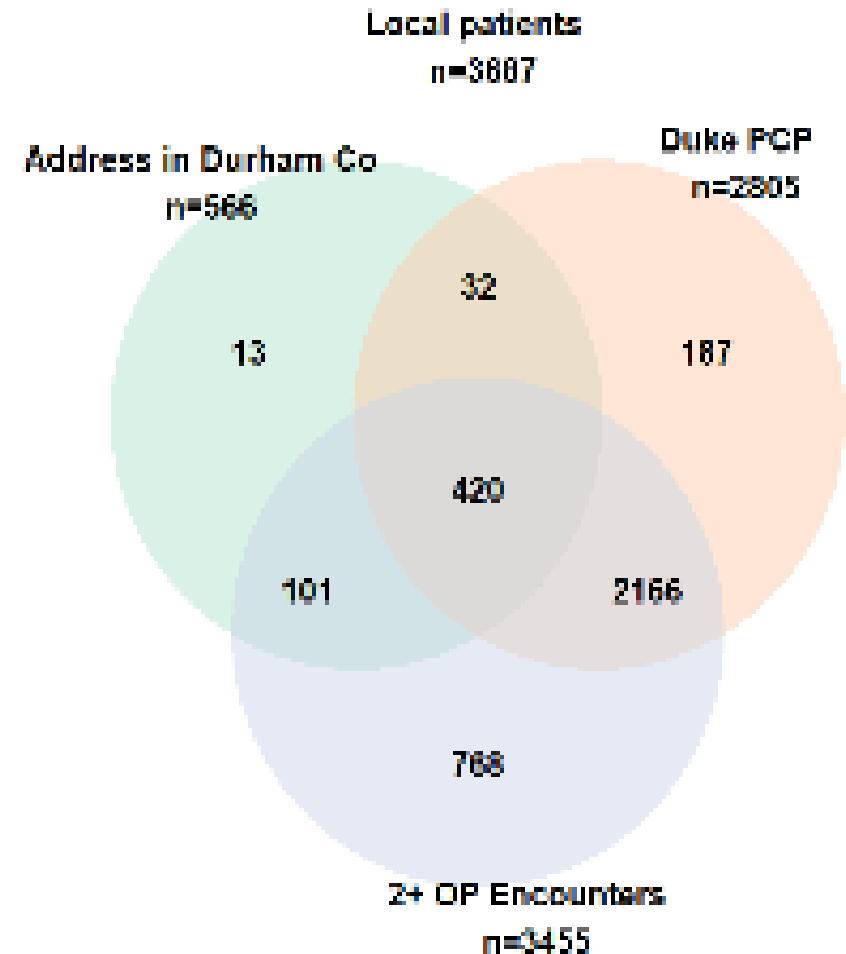


# Thinking About Missing Data...

- Missing data typically implies data should be there but aren't
- With EHR data most information that are not there were never intended to be collected
  - Providers only order tests that they deem necessary
- We refer to this as *Informed Presence*
  - A collection of factors that make what we observe informative
- Typical strategies for missing data (e.g. imputation) are not appropriate when data are informatively present

# How Can We Address Observability Concerns

- Construct cohorts/data elements with better observability
- **Cohorts:** Defining “local” patients or patients who regularly use the health system for your studies
- **Data elements:** Understating why we certain things are documented



# Take Home

- EHR data are a central data source for clinical decision support tools
- For all of their richness we do not observe everything we want to
- Biases in how health care is delivered and received lead to differential capture of information
- This leads to biased algorithms that can ultimately perpetuate biases in the delivery of care
- Many of these challenges are unverifiable within the data themselves and are assumptions that algorithm developers need to make

# Session 1: How Bias Arises in AI Healthcare Software

- **Chris Hemphill**, Actium Health
- **Kasia Chmielinski**, Harvard University
- **Ben Goldstein**, Duke University
- **Pilar Ossorio**, University of Wisconsin, Madison
- **Saman Parvaneh**, Edwards Lifesciences

# Session 2: Procedures for Preventing, Mitigating, and Detecting Bias in Health Care AI

- **Ziad Obermeyer**, University of California, Berkeley
- **Sara Murray**, University of California, San Francisco
- **Gigi Yuen-Reed**, IBM Corporation
- **Kadija Ferryman**, Johns Hopkins University
- **Eric Henry**, King & Spalding LLP



# Session 3: Utilizing AI to Reduce Bias and Injustice in Health Care

- **Art Papier**, VisualDx
- **Emma Pierson**, Cornell University
- **Mercy Asiedu**, Global AI Powered Health Technologies
- **Jana Schaich Borg**, Duke University
- **Sonoo Thadaney Israni**, Stanford University



## **Using AI with CDS to Reduce Bias In Healthcare**

**Art Papier MD CEO VisualDx**

**Associate Professor of Dermatology University of Rochester**

What Large Problem Are We Trying To Solve?

# A Medical Crisis

BELOW THE SURFACE

Surgical &  
Medication  
Errors

**5%**  
of outpatient  
office visits

**10%**  
of hospital  
inpatient deaths

**Diagnostic  
Errors**

**12%**  
of hospital  
adverse events

**74,000**  
deaths each year

**18 MILLION**  
diagnostic **ERRORS** each year



# Knowledge Gaps and Abilities in the Physical Exam of the Skin

Over  
**65%**  
of skin conditions are seen by non-dermatologists<sup>2</sup>

 Only  
**34%**  
of inflammatory rash cases are correctly diagnosed by non-dermatologists<sup>3</sup>

Generalists receive an average of  
**21 HOURS**  
of training in dermatology, making it difficult to accurately diagnose skin conditions and their corresponding illnesses.<sup>1</sup>



**15%**  
of all doctor visits include a skin related complaint<sup>2</sup>

“Nearly every person will experience a diagnostic error in their lifetime.”

NATIONAL ACADEMY OF MEDICINE SEPT 2015

# 2006 Skin of Color Resources Research

## SPECIAL ARTICLE

---

### **Disparities in dermatology educational resources**

Tobechi Ebede, MD, and Art Papier, MD  
*Rochester, New York*

Patients with dark skin can present with morphologic variants, subtle disease presentations, and disease manifestations requiring unique management and therapies. With African Americans, Asians, and Hispanic Americans becoming a significant portion of the population, dermatologists must be able to diagnose and manage skin conditions in people of color. In this study, core dermatology educational sources were examined to determine if they provide dermatologists and trainees with the knowledge base necessary to diagnose and treat skin disease in the ethnic patient. Overall, the coverage of dark skin at national meetings and in photographs in the major dermatology resources is limited and variable. More consistent photographic coverage and textual information describing common and serious skin diseases in people of color should be incorporated into educational resources. (J Am Acad Dermatol 2006;55:687-90.)

**C**ultural competency in health care delivery relates to a physician's ability to effectively communicate and provide care for members of different ethnic backgrounds. With the growing diversity of the US population, dermatologists are seeing a more ethnically diverse patient population. This changing patient mix requires having the knowledge base to care for the skin of people of

and images reflect the reality of our multicultural population?

#### **METHODS**

Data were gathered from two areas: program guides from American Academy of Dermatology (AAD) annual meetings and 7 key textbooks for



# Perception: Red or Purple on Brown does NOT Appear Red or Purple



Ecchymoses appearing as dark brown patches in a patient with acute meningococemia.



Dusky purpura with surrounding erythema in a patient with acute meningococemia.

## Acute meningococemia

# Clinical Decision Support



Machine Learning



Artificial Intelligence



Rule Based Systems

## 20th Century

Memory oriented

Unaided decisions

Manuals in white coat

Model "roundsmanship"

Hide doubt from patients



## 21st Century

Process oriented

Assisted decisions

Smartphones in white coat

Model information acquisition

Shared decision-making



We are living through a societal  
paradigm shift to augmented  
intelligence

---

# Machine Learning Overview

- Professional skin image collection with meta tags allowing for machine learning training across multiple parameters
- Merging a machine learning tool with a knowledge and image base allows for real-time diagnostic support.







International network of dedicated contributors has participated in building good data.



Images and case data are submitted by experts from around the world. Diseases of regional and geographic importance are uploaded and medically reviewed and labeled

# ML Trained on skin of all colors

visualDx / Varicella

## Varicella in Adult -

40 of 81 Images

Filter Images

Active filters:

Type I  or Type II

Hide genital images

Hide severe images

**Skin Pigmentation**

Type I

Type II


Type III

Type V

Type VI

+ Image Type

Study Location





# The Basis For Machine Learning and AI: Good Data



Human Phenome  
Variation!



Machine Learning



# Case Study

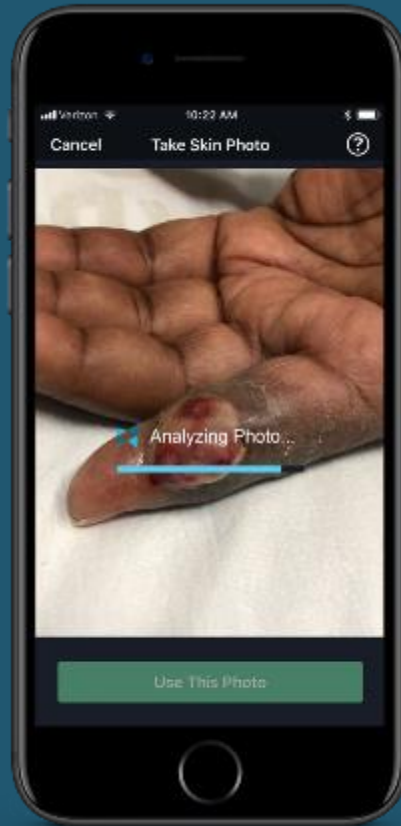
- Female, 66 years old
- Three-week history of rapidly enlarging lesion
- Patient removed lesion herself. Lesion recurred larger.
- Evaluated in Emergency Department



Brian Browne, MD

# VisualDx Machine Learning

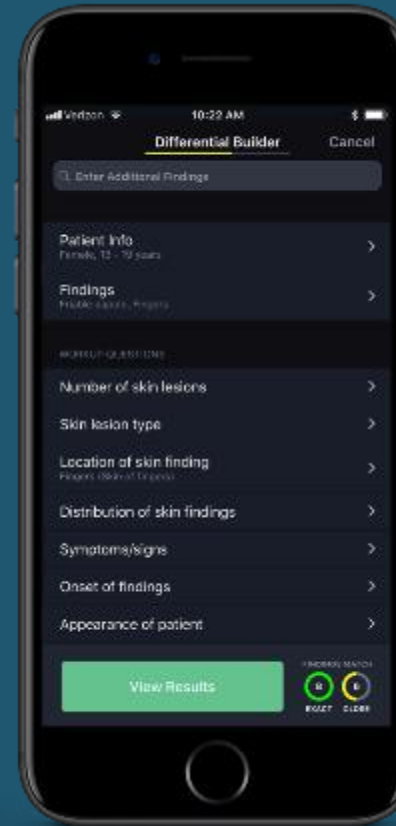
Snap a picture.



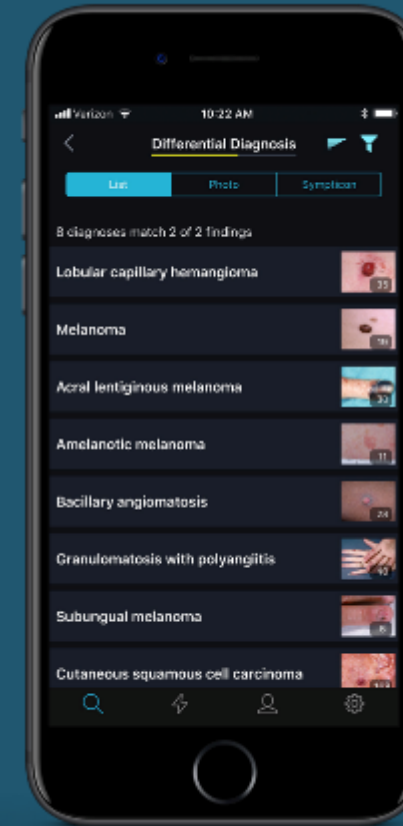
Confirm lesion type.



Add other symptoms.



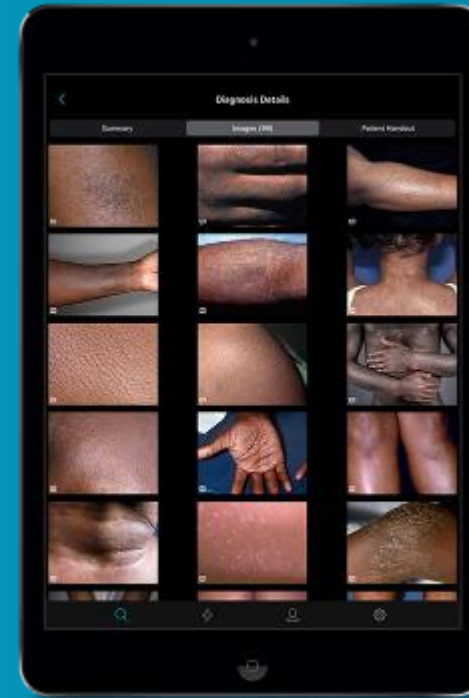
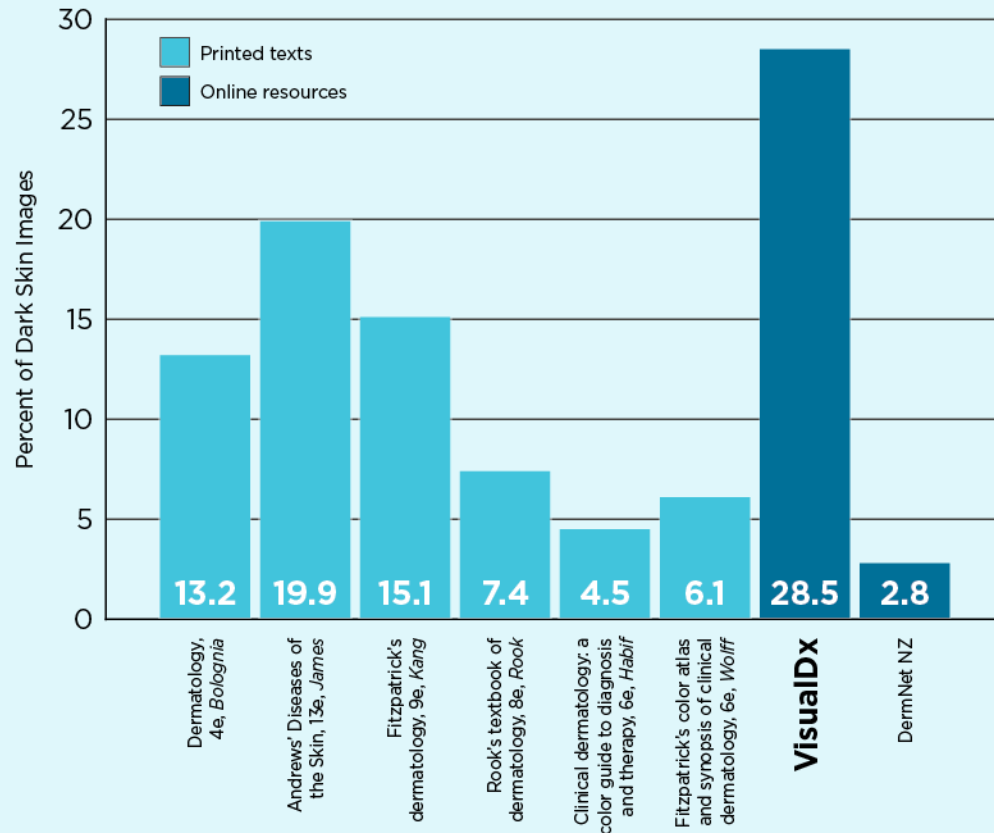
Review diagnostic possibilities.



Medicine Needs to Improve Not Only AI, but  
Education, Clinical Knowledge, and  
the Physical Exam for Patients of Color

# The World's Leading Skin of Color Atlas

Extent of illustration of dark skin in commonly used dermatologic learning resources for the 65 common dermatologic conditions included in this study.



- VisualDx is recognized for showing “pathology on dark skin in remarkably high proportion compared other resources.”
- We believe representation is critical to bridging gaps of knowledge in medicine.

**28.5%** of images in VisualDx are skin type IV, V, VI.

Type IV

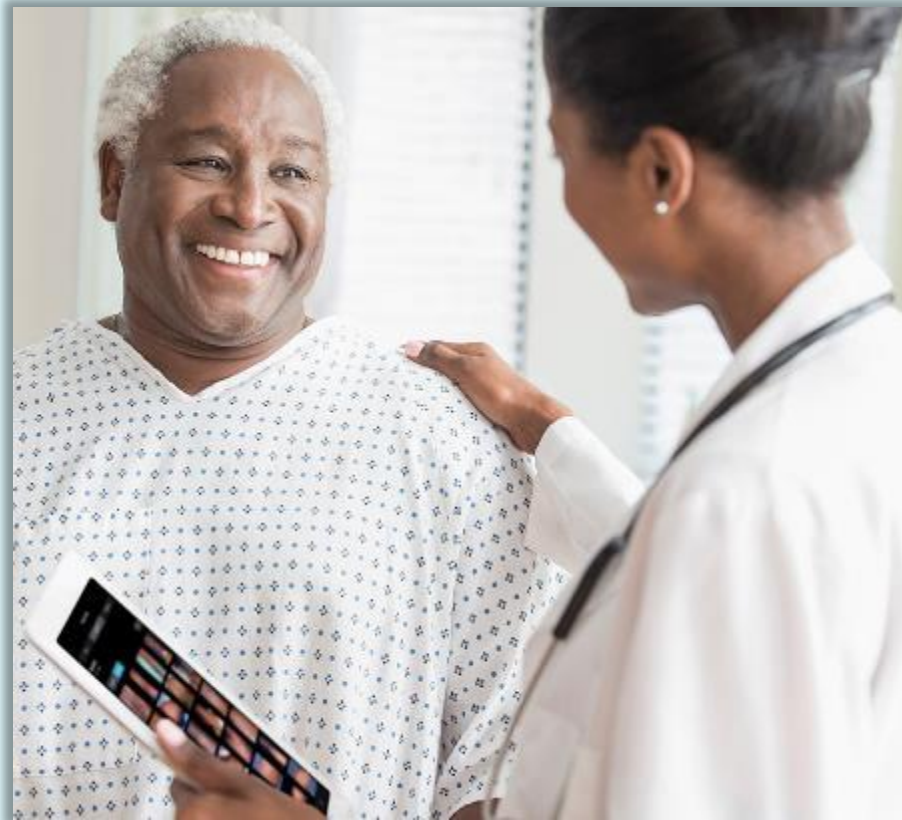
Type V

Type VI



# Patient Education

Equity in Knowledge = Improvement in Diagnosis = Improved Health Care Outcomes

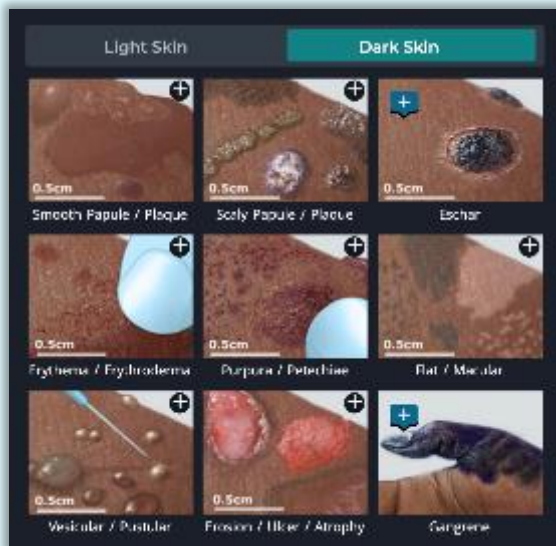


During the diagnostic process, doctors can use VisualDx to understand that variations in disease include skin color. VisualDx helps to fill in knowledge gaps related to skin of color.

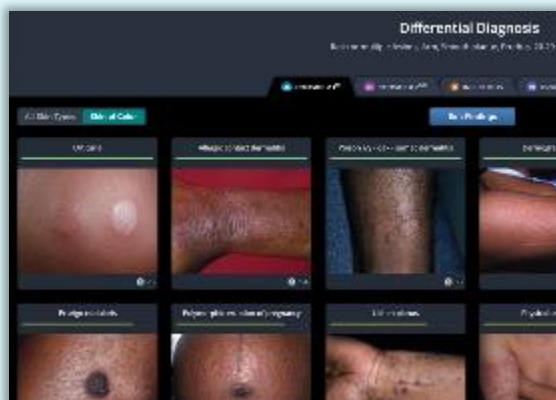


Doctors engage patients with shared decision-making by using VisualDx to show images to the patient that look like them. Patients are more confident in the diagnosis and compliant with treatment.

# A 20 year Commitment to Diversity and Inclusion



Choose lesion morphology for skin of color.



View the differential with skin of color images and filter images by skin type.

## Información para el paciente sobre Candidiasis oral en Adulto

### Resumen

Las aftas (candidiasis oral), también conocidas como moniliasis oral, son una infección por hongos en la boca o la garganta (la cavidad bucal). El hongo que más comúnmente causa la candidiasis oral es la *Candida albicans*.



Small, flat, white papules on the dorsal tongue.

### Personas en riesgo

La candidiasis es muy común en los bebés. Los adultos que desarrollan candidiasis incluyen:

- Personas con diabetes u otros trastornos glandulares (endocrinos).
- Personas que usan dentadura postiza.
- Personas que toman antibióticos.

Share patient handouts in English or Spanish. Handouts are written specifically for a patient audience.



Well-demarcated, deep pink and somewhat violaceous plaques with overlying scale on the feet of an immunocompromised patient.

Read image captions to gain additional insight into presentations of disease.

## Herpes zoster in Adult ▾

See also in: [Cellulitis DDx](#), [Anogenital](#), [Hair and Scalp](#), [Oral Mucosal Lesion](#)

### Look For

Grouped or confluent vesicles with scalloped borders on an erythematous base, best seen in lighter skin colors ("dew drops on a rose petal"), usually, but not always, confined to a distinct dermatome and not crossing the midline. The trigeminal nerve, especially the ophthalmic division, and the truncal dermatomes, from T3 to L2, are most often affected.

Early lesions may be grouped urticarial papules and plaques. Vesicles may be confluent, sparse, or discrete. After several days, the vesicles may become pustular, umbilicated, or hemorrhagic. Lesions typically crust over and resolve after 7-14 days. Scarring is common.

**Impact of skin color on clinical presentation:** Early manifestations of zoster including erythematous and urticarial papules and plaques may be more difficult to diagnose in darker skin colors as erythema may be a subtle finding. The presence of grouped papules and plaques that may be edematous, dermatomal, or have a sharp demarcation at the midline may serve as helpful clues.

Regional lymphadenopathy can occur. Pain, paresthesias, and/or pruritus may precede or accompany the appearance of rash.

### Immunocompromised Patient Considerations:

In multidermatomal zoster, 2 or more adjacent dermatomes are involved, leading to a broader band of lesions. Cutaneous involvement may cross the midline. Lesions may be slow to heal, with subsequent formation of chronic ulcers; hyperkeratotic papules/plaques and verrucous lesions may eventuate. In patients with HIV, persistent subacute to chronic ulcers may be a manifestation of herpes zoster. In patients with significant immunosuppression, an adequate full skin exam may reveal widely scattered crusted papules, vesicles and erosions of disseminated zoster.

Access information on special considerations such as patients of color or immunocompromised conditions. Our editorial team continues to add clinical considerations throughout VisualDx.



# What is Project IMPACT?

IMPACT = Improving Medicine's  
Power to Address Care and Treatment

## Mission

Project IMPACT is a global effort brought to you by VisualDx to reduce disparities in medicine and highlight the tools we use to bridge gaps of knowledge and improve care.

## Our Core Values

- We believe health care providers who evaluate and treat dermatologic conditions should be able to recognize disease in all skin colors.
- We believe technology that brings images and information to the point of care can reduce biases in medicine by bridging knowledge gaps.
- We believe it is imperative to address health care disparities and to work towards health equity for all citizens of the world.

[projectimpact.org](http://projectimpact.org)

## Collaborators

Together, we are building a growing community of more than **1.5 million** health care professionals and students to take action to improve care in our skin of color patients.



UNIVERSITY OF  
KWAZULU-NATAL™  
INYUVESI  
YAKWAZULU-NATALI



#ProjectIMPACT™

POWERED BY visualDx.

# VisualDx Today

50% of US medical schools



300 Enterprise Customers hospitals and clinics



Global Customer Base



Partners

BILL & MELINDA GATES foundation

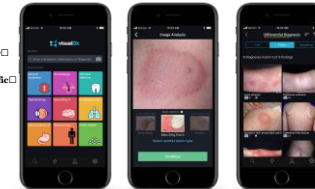


BUSINESS INSIDER

Apple CEO Tim Cook gave a shout-out to a \$100-per-year app for doctors — here's what it does

Hi Leasing  
Nov. 10, 2017, 8:30 AM

- Advances in machine learning allow the app to learn from the photos that doctors can take and identify the disease or condition depicted.
- Apple's app is one of the first to use a new machine learning software to assist with diagnosis in an iPhone.
- VisualDx has built a database of 25,000 high-quality medical images.



Apple CEO Tim Cook isn't a doctor, but he talked about a piece of medical software, VisualDx, during Apple's most recent earnings call.

# aysa<sup>®</sup>

## A patient-centered approach to skin health.



“

This app deserves more than five stars. Without it I might have ignored the strange rash, just kept an eye on it, and hoped it went away. Using the app, I suspected shingles and information on the app got me to move fast to my doctor's office to get medication. Everyone should have this app; it's invaluable!

— JUDITH S, AYSA USER

Combine the diagnostic power of VisualDx with Aysa's personalized guidance to empower your patients with the right health information.

4.8 stars  
in the App Store

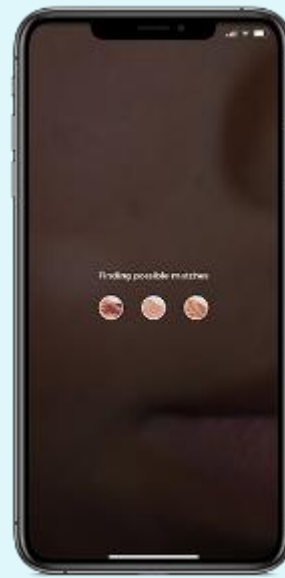
“

Love this app. Gives you suggestions from a picture you take of the skin issue. It's great!

— KAREN T, AYSA USER



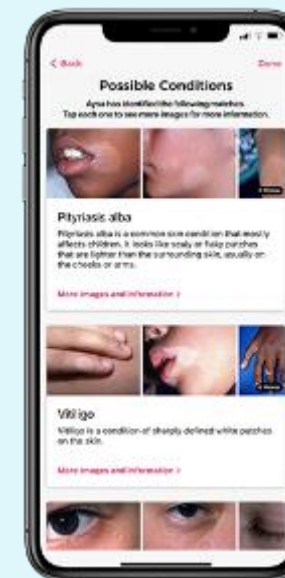
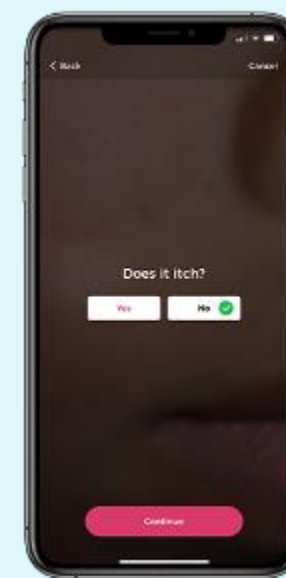
Patient takes photo of skin condition.



Machine learning analyzes photo.



Patient answers a few simple questions.



Patient sees information about possible conditions.







## Contact Us

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Art Papier, MD CEO

**VisualDx**

Email: [apapier@visualdx.com](mailto:apapier@visualdx.com)

**Get Involved**

[www.visualdx.com](http://www.visualdx.com)

[www.projectimpact.org](http://www.projectimpact.org)



# Utilizing AI to Reduce Bias and Injustice in Health Care

**Emma Pierson**

@2plus2make5

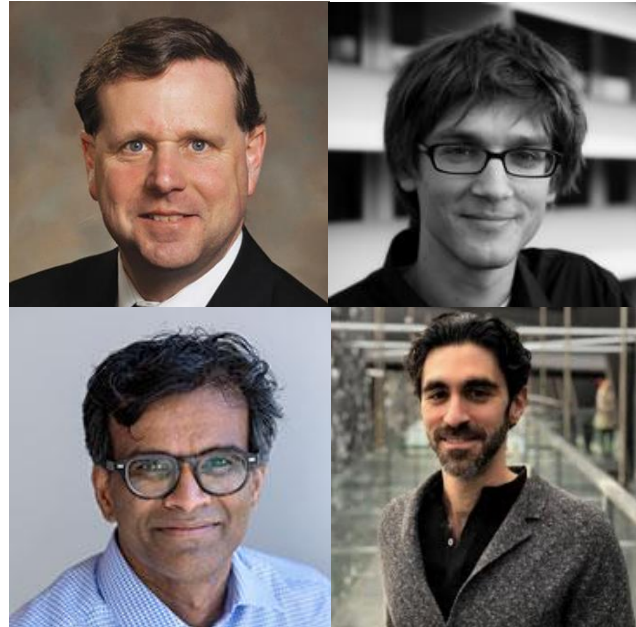
Cornell Tech

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December 17, 2021

# Using AI to understand and reduce inequality in pain

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[1] **Pierson**, Cutler, Leskovec, Mullainathan, and Obermeyer. An algorithmic approach to reducing unexplained pain disparities in underserved populations. *Nature Medicine*, 2021.

# Disadvantaged groups experience more pain

---

**“Socioeconomic disadvantage [SED]...is consistently associated with increased risk for pain...[across] pain sites...continents...in both community samples and medical settings.”**

[1] Polshuck and Green. "Socioeconomic disadvantage and pain." *Pain*, 2008.

[2] Anderson, Green, and Payne. "Racial and ethnic disparities in pain: causes and consequences of unequal care."

*The Journal of Pain*, 2009.

# This is also true in knee osteoarthritis

---

- 10% of men over 60 and 13% of women over 60 have knee osteoarthritis
- Disadvantaged groups have worse pain
- Even when we control for doctor's assessment of severity!



# How do we measure severity?

---



Emma Pierson (@2plus2make5)

# But...

---

- Severity score was developed decades ago in heavily white British populations

## **RHEUMATISM IN MINERS**

### **PART II: X-RAY STUDY**

BY

J. H. KELLGREN and J. S. LAWRENCE

*From the Walkden Miners' Clinic and the Rheumatism Research Centre, Manchester University*

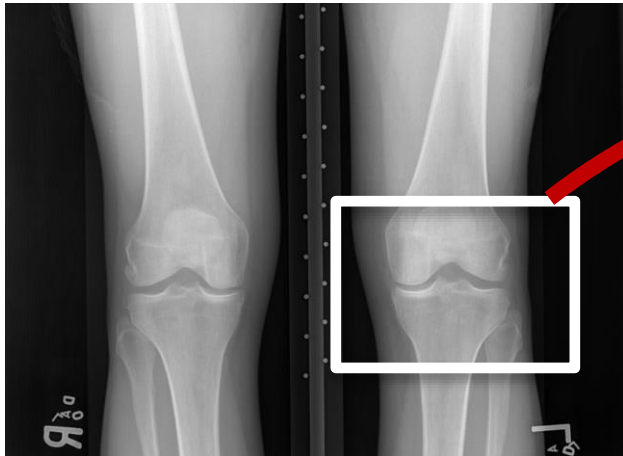
(RECEIVED FOR PUBLICATION JANUARY 8, 1952)

---

Are there overlooked physical features  
in the knee which explain the higher  
pain levels in disadvantaged groups?

# Method

- Train an algorithm (CNN) to search for additional signal in the knee x-ray which would explain the higher pain levels in disadvantaged groups



Standard approach:  
train model to replicate doctor judgment

[1] Tiulpin et al. “Automatic knee osteoarthritis diagnosis from plain radiographs: A deep learning-based approach”. *Scientific Reports*, 2018.

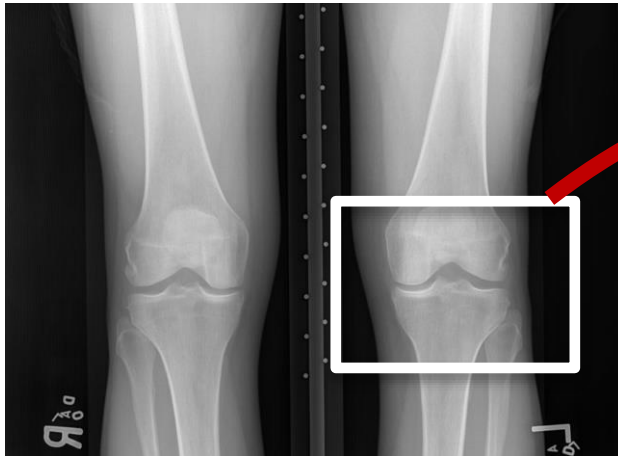
[2] Antony et al. “Quantifying Radiographic Knee Osteoarthritis Severity using Deep Convolutional Neural Networks”. *Int Conf Pattern Recognit*, 2016.

[3] Oka et al. “Fully automatic quantification of knee osteoarthritis severity on plain radiographs”. *Osteoarthritis and Cartilage*, 2008.

[4] Chen et al. “Fully automatic knee osteoarthritis severity grading using deep neural networks with a novel ordinal loss”. *Computerized Medical Imaging and Graphics*, 2019.

# Method

- Train an algorithm (CNN) to search for additional signal in the knee x-ray which would explain the higher pain levels in disadvantaged groups

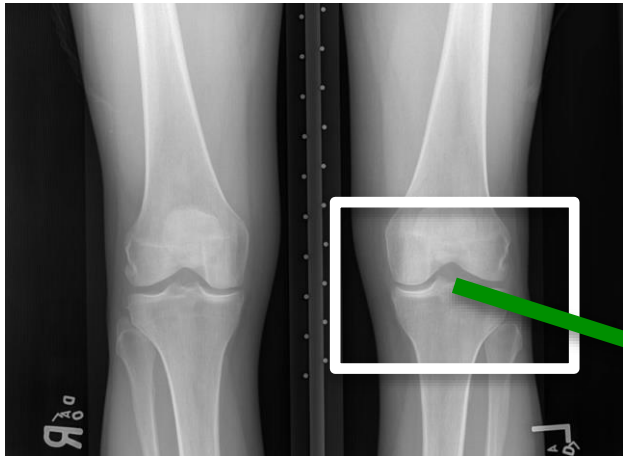


Standard approach:  
train model to replicate doctor judgment

**Problem: if doctor judgment  
doesn't capture all  
the pain-relevant features,  
don't want to just replicate it.**

# Method

- Train an algorithm (CNN) to search for additional signal in the knee x-ray which would explain the higher pain levels in disadvantaged groups



Standard approach:  
train model to replicate doctor judgment



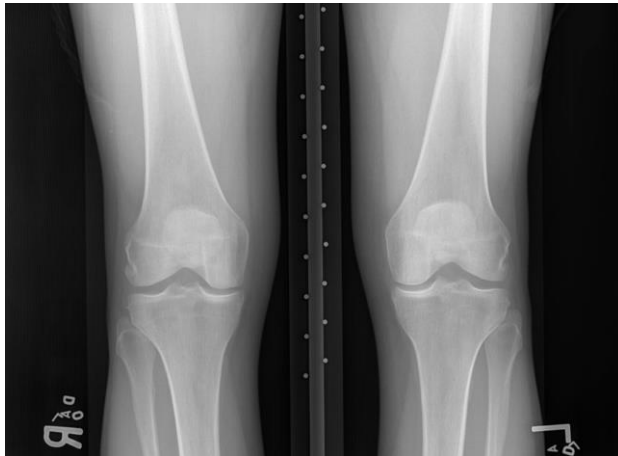
**Predict patient's pain!**

**Our approach:**

train model to *learn from the patient*  
and predict pain score

# Method

- Train an algorithm (CNN) to search for additional signal in the knee x-ray which would explain the higher pain levels in disadvantaged groups

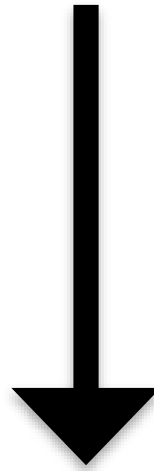


**Input:** knee x-ray  
**Output:** knee-specific  
pain prediction



# Method

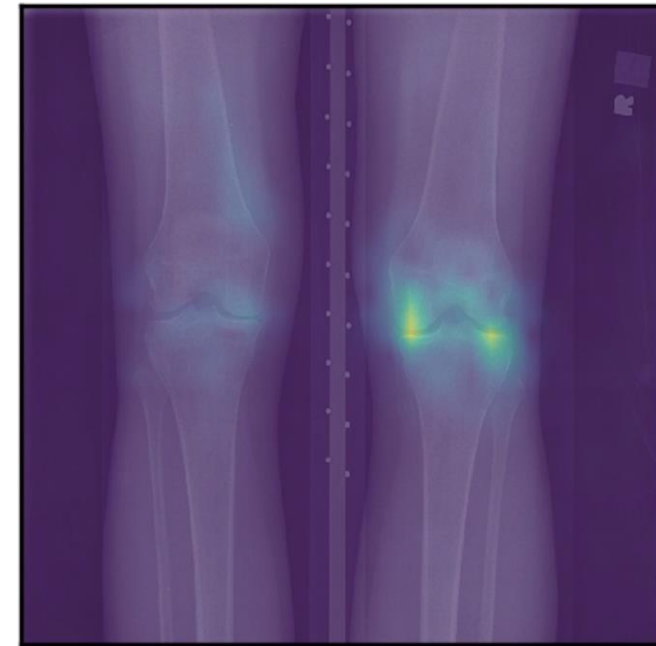
If controlling for **algorithmic** severity score narrows racial/SES pain disparities more than controlling for **clinical** severity score



Clinical severity score overlooks knee features which help explain disadvantaged patients' higher pain levels

# Main result: algorithm narrows pain gap

- Controlling for algorithm's severity score narrows unexplained racial/SES disparities in pain by **2-5x more** than controlling for traditional severity measure
- (Algorithm also predicts pain better overall)
- Numerous robustness checks



# Bonus results

---

- Using a diverse train set boosts algorithmic performance
- Implication for design of medical studies
- Algorithm gives disadvantaged patients higher severity scores -> more likely to allocate them surgery
- Suggests potential for reducing disparities in access to surgery

# Broader lessons

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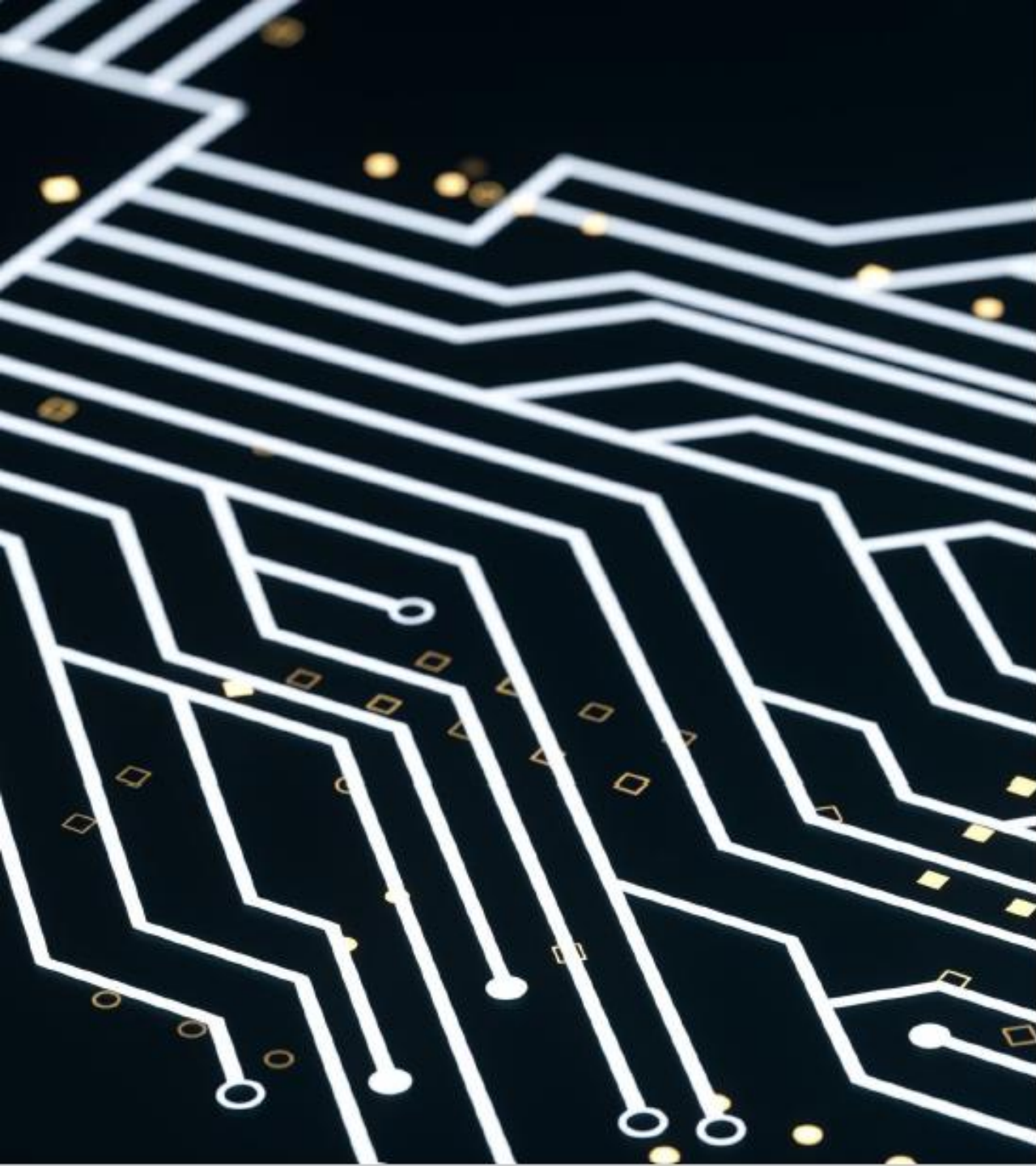
- Previous work on how machine learning methods can **increase** disparities in medicine
- We show how machine learning methods can also **reduce** disparities by detecting signal humans miss
- **Key to our results:**
  - Choice of prediction task
  - Training on diverse dataset

[1] Obermeyer, Powers, Vogeli, and Mullainathan. "Dissecting racial bias in an algorithm used to manage the health of populations." *Science*, 2019.

[2] Martin et al. "Clinical use of current polygenic risk scores may exacerbate health disparities." *Nature Genetics*, 2019.

[3] Kleinberg, Lakkaraju, Leskovec, Ludwig, and Mullainathan. "Human decisions and machine predictions". *The Quarterly Journal of Economics*, 2017.

[4] Goel, Rao, and Shroff. "Precinct or prejudice? Understanding racial disparities in New York City's stop-and-frisk policy". *The Annals of Applied Statistics*, 2016.



# Simplifying Technology for Inclusive AI

**Mercy Asiedu, PhD**

Schmidt Science Postdoc, MIT

Co-founder and co-CEO, GAPhealth

Co-founder and CTO, Calla Health



GAPhealth

# GAPhealth

*Smart healthcare for chronic disease management*



A close-up portrait of a woman with a yellow headwrap, looking directly at the camera with a slight smile. The background is dark and out of focus.

For us,  
it's **PERSONAL**





## Current



## GAPhealth's Vision

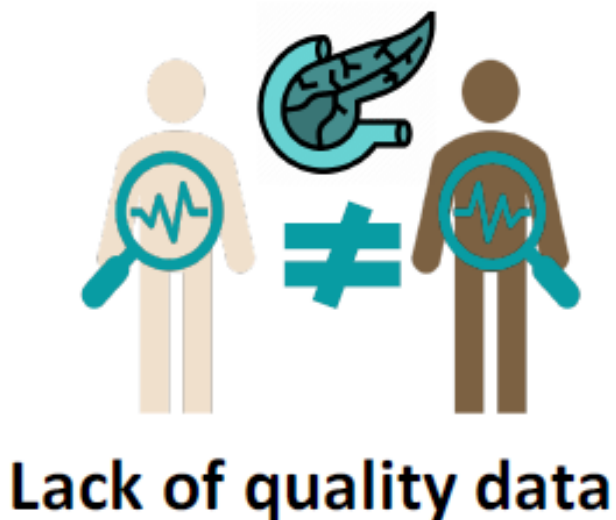
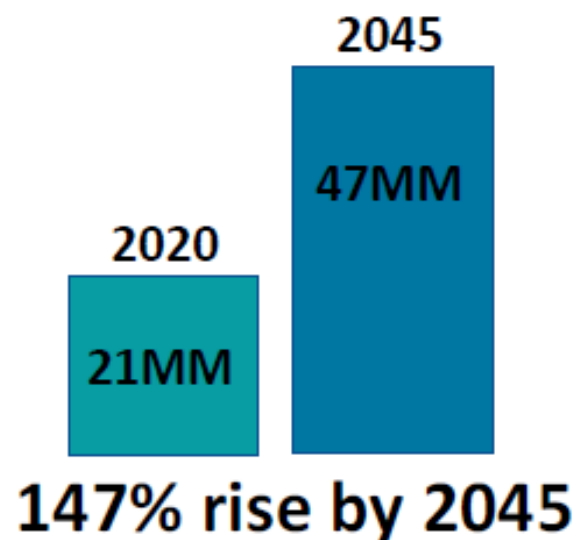








# Rising prevalence and gaps in care



# Our solution: A digital health wallet; decentralizing healthcare and data



**Specialist Care**



**Health Records**



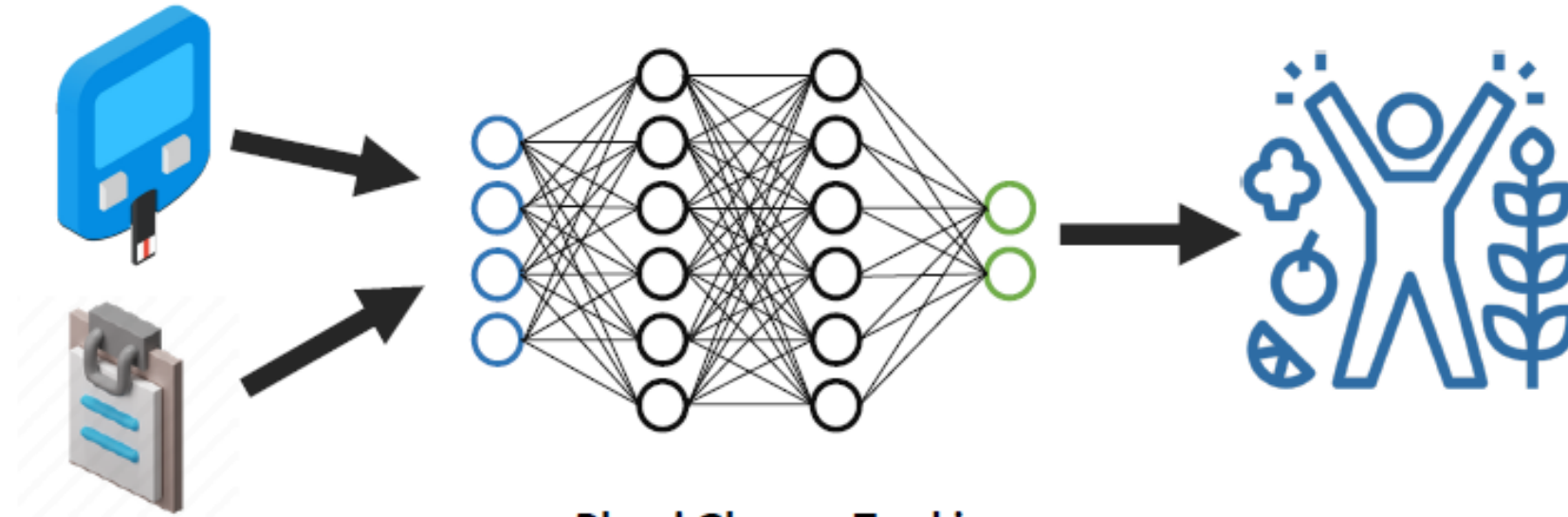
**Health tracking**



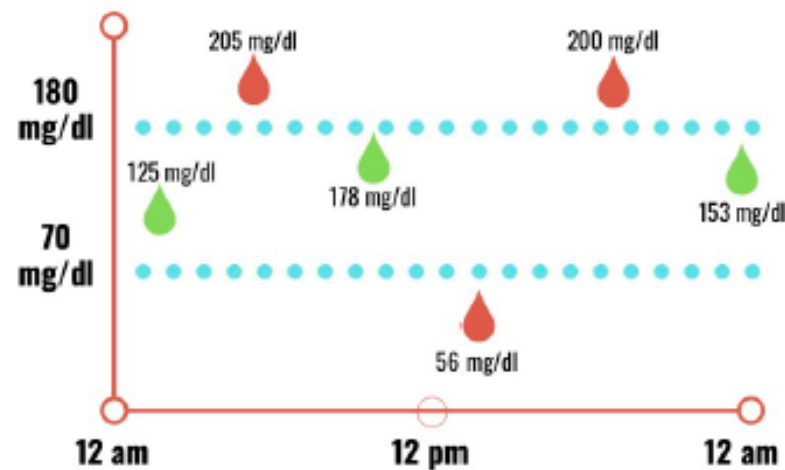
**Data Insights**



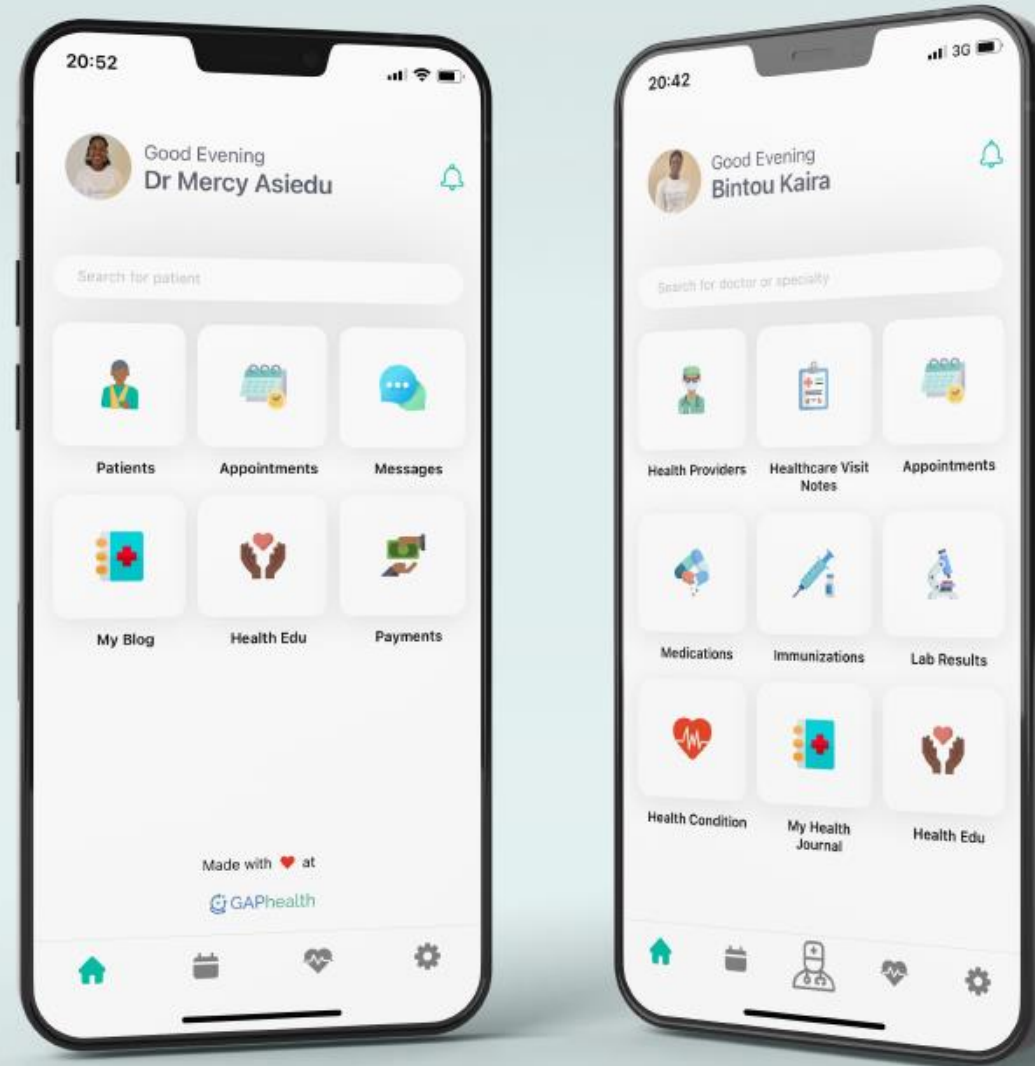
# AI for chronic health management



Blood Glucose Tracking

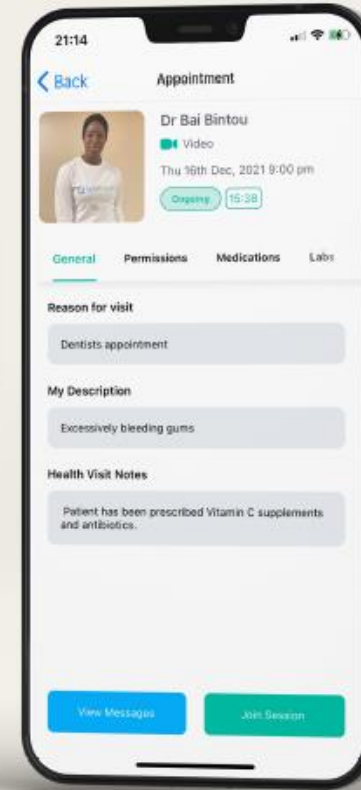
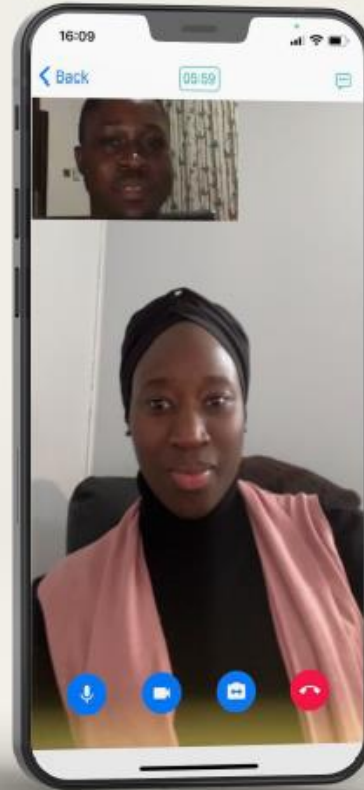
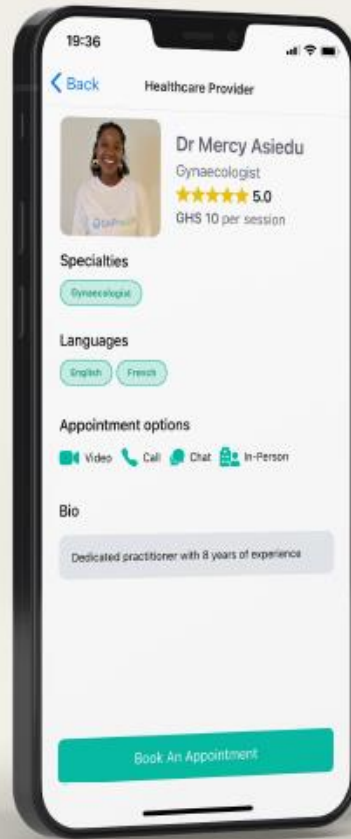


# App beta version





# App beta version



## Beta testers' testimony

“Very great initiative and app. It was very easy to use. 50-60% of my patient population can use this”

~Doctor, Ghana

“Accessing the app was very straightforward, the front page is very clear and uploading documents was just as easy.”

~Patient, Gambia





*Reimagining Gynecology*



# Cervical cancer prevalence and mortality



**500,000**

New cases per  
year

**55%**

Mortality

**85%**

of deaths occur  
in underserved  
areas

**>60%**

Women  
unscreened

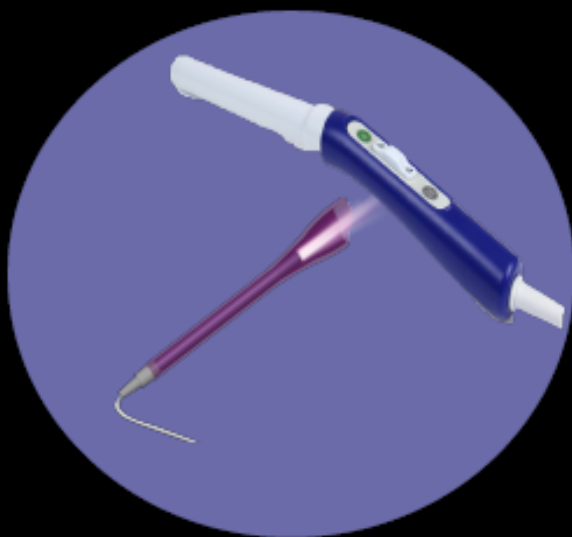
Cervical Cancer is a **slow growing, preventable & treatable** disease  
**EARLY SCREENING** is the biggest **RETURN ON INVESTMENT**

# Our Solution: Integrated hardware and software solution for cervical cancer screening and diagnosis



## Visualization

Low-cost, high quality imaging tools that enable provider-based and self-cervix imaging



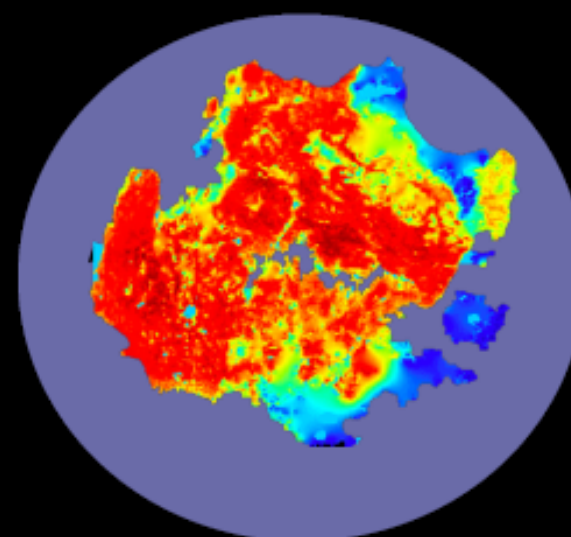
## Communication

mHealth Platform for HIPAA compliant patient data storage and tracking



## Decision Making

Accurate automatic risk assessment to reduce subjectivity and need for experts



Self-applicable for home screening

Easy health provider usage

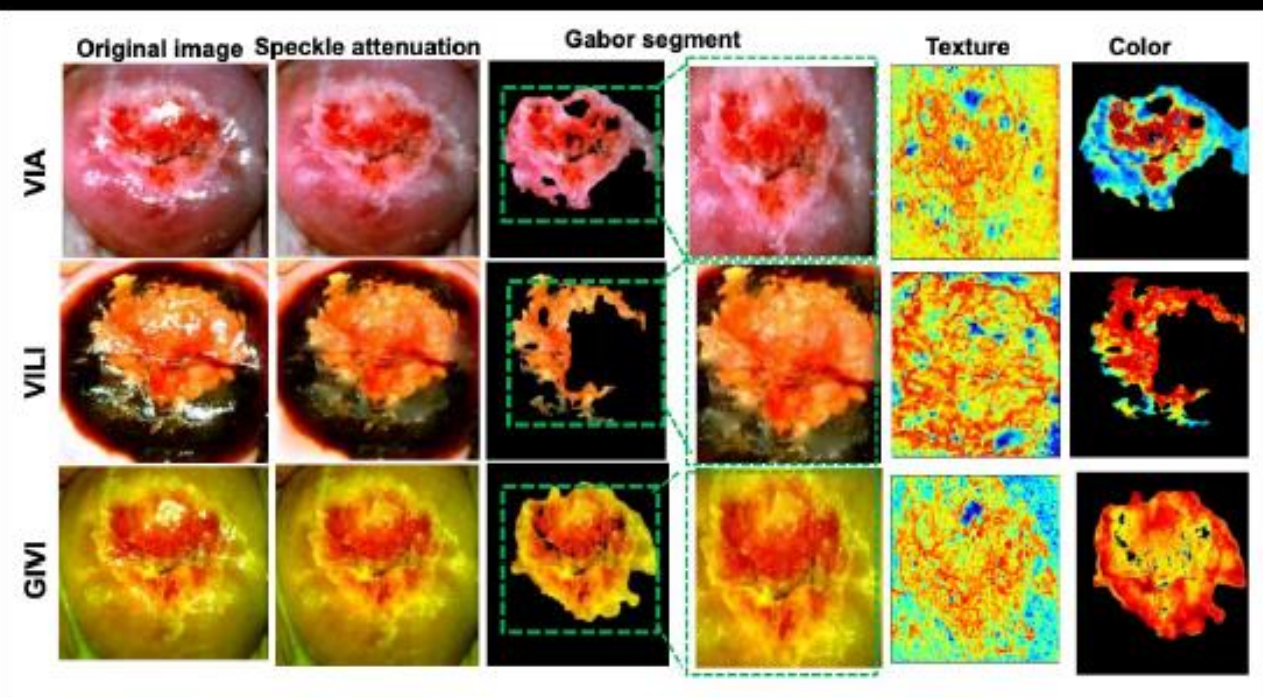
More women screened

Low capital investment

Other markets: home checkups

# AI algorithm using traditional machine learning

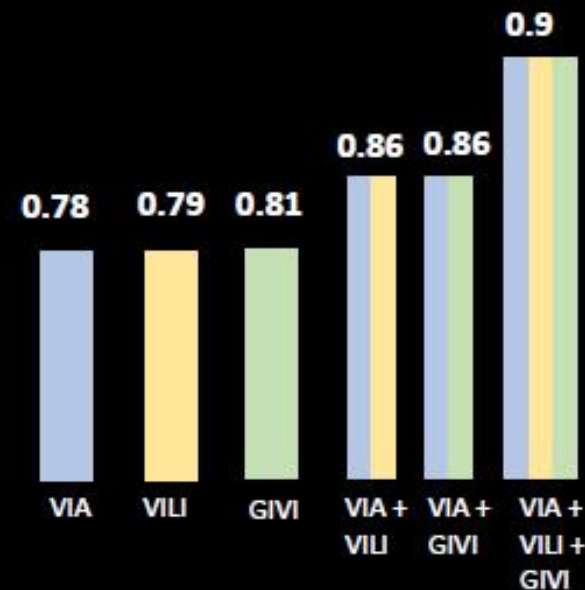
N=162



Negative

Positive

AUC Scores

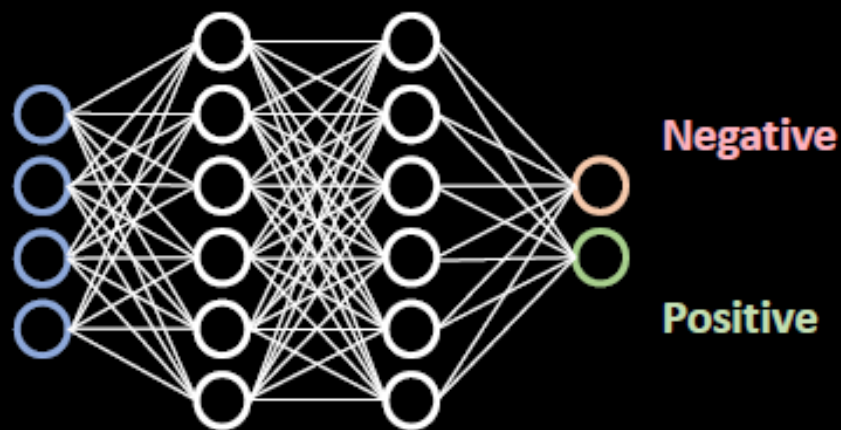
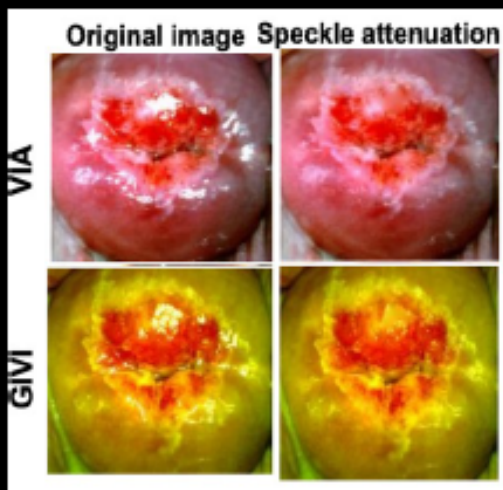


Pathology ground truth

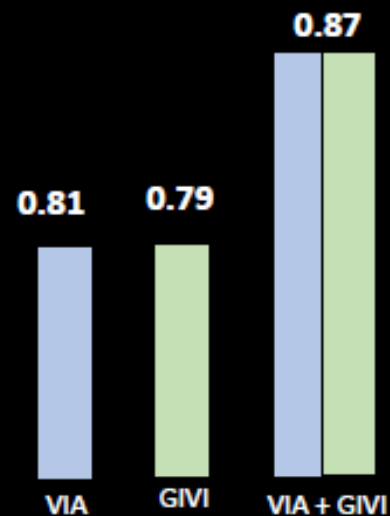


# AI algorithm using deep neural networks

Training: 756, Testing: 124



AUC Scores



Pathology ground truth

# Where is our data from?



# Acknowledgements

- **GAPhealth Team**

- Bai Bintou Kaira
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- Emmanuella Asiedu
- Naomi Anane



- **GAPhealth Advisors**

- Dr. Pemberton Cyrus
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- Dr. Charles Poku
- Dr. Alfred Opata
- Debo Olaosebekan
- Dr. David Sontag



- **Calla Health Team**

- Marlee Krieger
- Dr. Nimmi Ramanujamm
- Erica Skerrett
- Dr. Jenna Mueller

- **Calla Health Advisors**



# Session 3: Utilizing AI to Reduce Bias and Injustice in Health Care

- **Art Papier**, VisualDx
- **Emma Pierson**, Cornell University
- **Mercy Asiedu**, Global AI Powered Health Technologies
- **Jana Schaich Borg**, Duke University
- **Sonoo Thadaney Israni**, Stanford University

# Federal Agency Roundtable

- **Elham Tabassi**, National Institute of Standards and Technology
- **Robin Wetherill**, Federal Trade Commission
- **Matthew Diamond**, U.S. Food and Drug Administration
- **Stephen Konya**, Office of the National Coordinator for Health Information Technology

# Closing Remarks & Meeting Adjournment

Mark McClellan, MD, PhD

Director, Duke-Margolis Center for Health Policy



# Thank You!

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